# **Database Security Service**

# **User Guide**

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# 1 Overview

### **1.1 DBSS**

Database Security Service (DBSS) provides the database audit service in out-of-path mode. It records user access to the database in real time, generates fine-grained audit reports, sends real-time alarms for high-risk operations and attacks. In addition, database audit generates compliance reports that meet data security standards (such as Sarbanes-Oxley) to locate internal violations and improper operations, thus ensuring data asset security.

### **Supported Databases**

Database audit provides the audit function in out-of-path mode for the following databases on the management console:

- Relational Database Service (RDS)
- Databases built on ECS
- Databases built on BMS

Database audit supports the following database types and versions.

**Table 1-1** Database types and versions supported by database audit

Database Type	Edition
MySQL	<ul> <li>5.0, 5.1, 5.5, 5.6, 5.7</li> <li>8.0 (8.0.11 and earlier)</li> <li>8.0.23</li> </ul>
Oracle	<ul> <li>11g 11.1.0.6.0, 11.2.0.1.0, 11.2.0.2.0, 11.2.0.3.0, and 11.2.0.4.0</li> <li>12c 12.1.0.2.0, 12.2.0.1.0</li> <li>19c</li> </ul>

Database Type	Edition	
PostgreSQL	<ul> <li>7.4</li> <li>8.0     8.0, 8.1, 8.2, 8.3, 8.4</li> <li>9.0     9.0, 9.1, 9.2, 9.3, 9.4, 9.5, 9.6</li> <li>10.0     10.0, 10.1, 10.2, 10.3, 10.4, 10.5</li> <li>11.0</li> <li>12.0</li> <li>13.0</li> </ul>	
SQL Server	<ul> <li>2008, 2008R2</li> <li>2012</li> <li>2014</li> <li>2016</li> <li>2017</li> </ul>	
DWS	• 1.5	
SHENTONG	V7.0	
GBase 8a	V8.5	
GBase 8s	V8.8	
Gbase XDM Cluster	V8.0	
TAURUS	MySQL 8.0	

### **Service Features**

- Back up and restore database audit logs and meet the audit data retention requirements.
- Monitor risks, sessions, session distribution, and SQL distribution in real time.
- Report alarms for risky behaviors and attacks and responds to database attacks in real time.
- Locate internal violations and improper operations and keep data assets secure

Deployed in out-of-path pattern, database audit can perform flexible audit on the database without affecting user services.

- Monitors database login, operation type (data definition, operation, and control), and operation object based on risky operations to effectively audit the database.
- Analyzes risks, sessions, and SQL injection to help you master the database situation in a timely manner.

 Provides a report template library to generate daily, weekly, or monthly audit reports according to your configurations. Sends real-time alarm notifications to help you obtain audit reports in a timely manner.

### 1.2 Functions

Database audit delivers functions such as user behavior detection and audit, multi-dimensional lead analysis, real-time alarms, and reports.

- User Behavior Detection and Audit
  - Associates access operations in the application layer with those in the database layer.
  - Uses built-in or user-defined privacy data protection rules to mask private data (such as accounts and passwords) in audit logs displayed on the console.
- Multi-dimensional Lead Analysis
  - Behavior analysis
    - Supports analysis in multiple dimensions, such as audit duration, statement quantity, risk quantity, risk distribution, session statistics, and SQL distribution.
  - Session analysis
    - Conducts analysis based on time, user, IP address, and client.
  - Statement analysis
    - Provides multiple search criteria, such as time, risk severity, user, client IP address, database IP address, operation type, and rule.
- Real-time Alarms for Risky Operations and SQL Injection
  - Risky operation
    - Defines a risky operation in fine-grained dimensions such as operation type, operation object, and risk severity.
  - SQL injection
    - Provides an SQL injection library, which facilitates alarm reporting for database exceptions based on the SQL command feature or risk severity.
  - System resource
    - Reports alarms when the usage of system resources (CPU, memory, and disk) reaches configured threshold.
- Fine-grained Reports for Various Abnormal Behaviors
  - Session behavior
    - Provides session analysis report of the client and database users.
  - Risky operation
    - Provides the risk distribution and analysis report.

# 1.3 Advantages

Database audit provides you with the database audit function in out-of-path pattern, enabling the system to generate real-time alarms for risky operations. In

addition, database audit generates compliance reports that meet data security standards. In this way, it locates internal violations and improper operations, protecting your data assets.

- Simple to set up
  - Database audit is deployed in out-of-path pattern. It is simple to set up and operate.
- Comprehensive audit
  - Supports audit of databases built on RDS, ECS, and BMS on the management console.
- Quick identification
  - Implements 99%+ application association audit, complete SQL parsing, and accurate protocol analysis.
- Efficient analysis
  - Responds quickly for data query with 10,000 requests per second from massive volumes of data saved.
- Clear permission division
  - Clearly divides permissions among the system administrator, security administrator, and audit administrator, meeting audit security requirements.

# 1.4 Deployment Architecture

Database audit is deployed in out-of-path pattern. It can audit databases built on ECS, BMS and RDS on the management console.

Figure 1-1 shows the database audit deployment architecture.

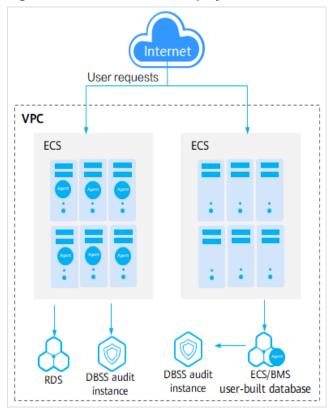


Figure 1-1 Database audit deployment architecture

The agent deployment for database audit is as follows:

- For databases built on ECS or BMS, agents must be deployed on the database side.
- For relational databases, agents must be deployed on the application or proxy side.

# 1.5 Editions

Database audit provides basic, professional, and advanced editions. You can select one of them as needed.

Table 1-2 describes the database audit editions.

Versio n	Maximum Databases	System Resource	Performance
Basic	3	<ul> <li>CPU: 4 vCPUs</li> <li>Memory: 16 GB</li> <li>Disk: 500 GB</li> </ul>	<ul> <li>Peak QPS: 3,000 queries/second</li> <li>Database load rate: 3.6 million statements/hour</li> <li>Stores 400 million online SQL statements.</li> <li>Stores 5 billion archived SQL statements.</li> </ul>
Profess ional	6	<ul> <li>CPU: 8 vCPUs</li> <li>Memory: 32 GB</li> <li>Disk: 1 TB</li> </ul>	<ul> <li>Peak QPS: 6,000 queries/second</li> <li>Database load rate: 7.2 million statements/hour</li> <li>Stores 600 million online SQL statements.</li> <li>Stores 10 billion archived SQL statements.</li> </ul>

### **◯** NOTE

- A database instance is uniquely defined by its database IP address and port.
  - The number of database instances equals the number of database ports. If a database IP address has N database ports, there are N database instances.
  - Example: A user has two database IP addresses,  $IP_1$  and  $IP_2$ .  $IP_1$  has a database port.  $IP_2$  has three database ports.  $IP_1$  and  $IP_2$  have four database instances in total. To audit all of them, select professional edition DBSS, which supports a maximum of six database instances.
- To change the edition of a DBSS instance, unsubscribe from it and apply for a new one.
- Online SQL statements are counted based on the assumption that the capacity of an SQL statement is 1 KB.

### 1.6 Constraints

Database audit is subject to certain constraints.

### **Supported Database Types**

The following types of databases on the management console can be audited in out-of-path mode:

- Relational Database Service (RDS)
- Databases built on ECS
- Databases built on BMS

# **Databases That Need Agents**

The following database versions can be audited.

**Table 1-3** Database types and versions supported by database audit

Database Type	Edition	
MySQL	<ul> <li>5.0, 5.1, 5.5, 5.6, 5.7</li> <li>8.0 (8.0.11 and earlier)</li> <li>8.0.23</li> </ul>	
Oracle	<ul> <li>11g 11.1.0.6.0, 11.2.0.1.0, 11.2.0.2.0, 11.2.0.3.0, and 11.2.0.4.0</li> <li>12c 12.1.0.2.0, 12.2.0.1.0</li> <li>19c</li> </ul>	
PostgreSQL	<ul> <li>7.4</li> <li>8.0     8.0, 8.1, 8.2, 8.3, 8.4</li> <li>9.0     9.0, 9.1, 9.2, 9.3, 9.4, 9.5, 9.6</li> <li>10.0     10.0, 10.1, 10.2, 10.3, 10.4, 10.5</li> <li>11.0</li> <li>12.0</li> <li>13.0</li> </ul>	
SQL Server	<ul> <li>2008, 2008R2</li> <li>2012</li> <li>2014</li> <li>2016</li> <li>2017</li> </ul>	
DWS	• 1.5	
SHENTONG	V7.0	
GBase 8a	V8.5	
GBase 8s	V8.8	
Gbase XDM Cluster	V8.0	
TAURUS	MySQL 8.0	

### **Supported OSs**

To use database audit, you need to install its agent on database nodes or application nodes. The database audit agent can run on the 64-bit Linux or Windows.

• For more information, see **Table 1-4**.

**Table 1-4** Supported Linux OS versions

System version
• CentOS 7.0 (64bit)
• CentOS 7.1 (64bit)
• CentOS 7.2 (64bit)
• CentOS 7.3 (64bit)
• CentOS 7.4 (64bit)
• CentOS 7.5 (64bit)
• CentOS 7.6 (64bit)
• CentOS 7.8 (64bit)
• CentOS 8.0 (64bit)
• Debian 7.5.0 (64bit)
• Debian 8.2.0 (64bit)
• Debian 8.8.0 (64bit)
• Debian 9.0.0 (64bit)
• Fedora 24 (64bit)
• Fedora 25 (64bit)
• SUSE 11 SP4 (64bit)
• SUSE 12 SP1 (64bit)
• SUSE 12 SP2 (64bit)
Ubuntu 14.04 (64bit)
Ubuntu 16.04 (64bit)
• Ubuntu 18.04 (64bit)
• Ubuntu 20.04 (64-bit)
• Euler 2.2 (64bit)
• Euler 2.3 (64bit)
Oracle Linux 6.9 (64bit)
Oracle Linux 7.4 (64bit)

- The following Windows OSs are supported:
  - Windows Server 2008 R2 (64bit)
  - Windows Server 2012 R2 (64bit)

- Windows Server 2016 (64bit)
- Windows 7 (64bit)
- Windows 10 (64bit)

#### 

The DBSS agent depends on Npcap. If the message "Npcap not found, please install Npcap first" is displayed when you install the DBSS agent, first install Npcap and then the DBSS agent.

Npcap download link: https://npcap.com/#download

Figure 1-2 Npcap not found

### **Other Constraints**

- If SSL is enabled for a database, the database cannot be audited. To use database audit, disable SSL first.
- Ensure the VPC of the database audit instance is the same as that of the node (application side or database side) where you plan to install the database audit agent. Otherwise, the instance will be unable to connect to the agent or perform audit.

# 1.7 Permissions Management

If you need to assign different permissions to employees in your enterprise to access your DBSS resources, IAM is a good choice for fine-grained permissions management. IAM provides identity authentication, permissions management, and access control, helping you secure access to your cloud resources.

With IAM, you can use your account to create IAM users for your employees, and assign permissions to the users to control their access to specific resource types. For example, some software developers in your enterprise need to use DBSS but must not delete DBSS resources or perform any high-risk operations. To achieve this result, you can create IAM users for the software developers and grant them only the permissions required for using DBSS resources.

If your account does not need individual IAM users for permissions management, then you may skip over this chapter.

### **DBSS Permissions**

By default, new IAM users do not have permissions assigned. You need to add a user to one or more groups, and attach permissions policies or roles to these

groups. After authorization, the user can perform specified operations on BMS based on the permissions.

DBSS is a project-level service deployed and accessed in specific physical regions. To assign DBSS permissions to a user group, specify the scope as region-specific projects and select projects for the permissions to take effect. If **All projects** is selected, the permissions will take effect for the user group in all region-specific projects. When accessing DBSS, the users need to switch to a region where they have been authorized to use cloud services.

DBSS roles are dependent on the roles of other services. When assigning DBSS permissions to users, you need to also assign dependent roles for the DBSS permissions to take effect.

**Table 1-5** System roles supported by DBSS

Role Name	Description	Dependency
DBSS System Administrator (DBSS system administrator, who has the permissions to perform operations on DBSS system resources)	<ul> <li>Users with this set of permissions can perform the following operations on database audit:         <ul> <li>Purchasing an instance</li> <li>Starting, disabling, and restarting an instance</li> </ul> </li> <li>Obtaining the instance list</li> <li>Obtaining the basic information of an instance</li> <li>Obtaining the audit statistics</li> <li>Obtaining the monitoring information</li> <li>Obtaining the operation logs</li> <li>Managing databases</li> <li>Managing agents</li> <li>Configuring email notifications</li> <li>Backup and restoration</li> </ul>	To perform payment operations (for example, purchasing or renewing a DBSS instance), you must have the BSS Administrator, VPC Administrator roles.  • VPC Administrator:     Users with this set of permissions can perform all execution permission for Virtual Private Cloud (VPC). It is a project-level role, which must be assigned in the same project.  • BSS Administrator:     Users with this set of permissions can perform any operation on menu items on pages My Account, Billing Center, and Resource Center. It is a project-level role, which must be assigned in the same project.  • ECS Administrator:     Users with this set of permissions can perform any operations on an ECS. It is a project-level role, which must be assigned in the same project.

Role Name	Description	Dependency
DBSS Audit Administrator (DBSS audit administrator, who has the permissions to check DBSS security logs)	<ul> <li>Users with this set of permission can perform the following operations on database audit:</li> <li>Obtaining the instance list</li> </ul>	None
	<ul> <li>Obtaining the basic information of an instance</li> </ul>	
	<ul> <li>Obtaining the audit statistics</li> </ul>	
	<ul> <li>Obtaining the report results</li> </ul>	
	<ul> <li>Obtaining the rule information</li> </ul>	
	<ul> <li>Obtaining the statement information</li> </ul>	
	<ul> <li>Obtaining the session information</li> </ul>	
	<ul> <li>Obtaining the monitoring information</li> </ul>	
	<ul> <li>Obtaining the operation logs</li> </ul>	
	<ul> <li>Obtaining the database list</li> </ul>	
	<ul> <li>Managing reports</li> </ul>	

Role Name	Description	Dependency
DBSS Security Administrator (DBSS security administrator, who has the permissions to set DBSS security policies)	<ul> <li>Users with this set of permission can perform the following operations on database audit:</li> <li>Obtaining the instance list</li> </ul>	None
	<ul> <li>Obtaining the basic information of an instance</li> </ul>	
	<ul> <li>Obtaining the audit statistics</li> </ul>	
	<ul> <li>Obtaining the report results</li> </ul>	
	<ul> <li>Obtaining the rule information</li> </ul>	
	<ul> <li>Obtaining the statement information</li> </ul>	
	<ul> <li>Obtaining the session information</li> </ul>	
	<ul> <li>Obtaining the monitoring information</li> </ul>	
	<ul> <li>Obtaining the operation logs</li> </ul>	
	<ul> <li>Obtaining the database list</li> </ul>	
	<ul> <li>Configuring audit rules</li> </ul>	
	<ul> <li>Configuring alarm notifications</li> </ul>	
	<ul> <li>Managing reports</li> </ul>	

**Table 1-6** lists the common operations supported by each system-defined permission of DBSS. Select the permissions as needed.

**Table 1-6** Common operations supported by each system-defined permission

Operation	DBSS System Administrator	DBSS Audit Administrator	DBSS Security Administrator
Purchasing an instance	√	×	×
Starting, disabling, and restarting an instance	✓	×	×
Obtaining the instance list	√	√	√
Obtaining the basic information of an instance	✓	✓	<b>√</b>
Obtaining the audit statistics	√	√	√
Obtaining the monitoring information	√	√	√
Obtaining the operation logs	√	√	√
Managing databases	√	×	×
Managing agents	√	×	×
Configuring email notifications	√	×	×
Backup and restoration	√	×	×
Obtaining the report results	×	√	√
Obtaining the rule information	×	√	√
Obtaining the statement information	×	√	√

Operation	DBSS System Administrator	DBSS Audit Administrator	DBSS Security Administrator
Obtaining the session information	×	√	√
Obtaining the database list	√	√	√
Managing reports	×	√	√
Configuring audit rules	×	×	√
Configuring alarm notifications	×	×	√

# 1.8 Related Services

### **ECS**

DBSS instances are created on ECSs. You can use the DBSS instances to audit databases built on ECS.

### **RDS**

DBSS can audit RDS instances.

### **BMS**

DBSS can audit databases built on BMSs.

### **CTS**

Cloud Trace Service (CTS) provides you with a history of DBSS operations. After enabling CTS, you can view all generated traces to review and audit performed DBSS operations. For details, see the *Cloud Trace Service User Guide*.

Table 1-7 DBSS operations that can be recorded by CTS

•		
Operation	Resource Type	Trace Name
Creating an instance	dbss	createInstance
Deleting an Instance	dbss	deleteInstance
Starting an Instance	dbss	startInstance
Stopping an Instance	dbss	stopInstance

Operation	Resource Type	Trace Name
Restarting an Instance	dbss	rebootInstance

### **OBS**

Object Storage Service (OBS) is an object-based cloud storage service. It provides massive, secure, highly reliable, and low-cost data storage capabilities. Database audit logs can be backed up to OBS buckets to achieve high availability for disaster recovery.

### **IAM**

Identity and Access Management (IAM) provides you with permission management for DBSS.

Only users who have the DBSS System Administrator permissions can use DBSS.

To obtain the permissions, contact users who have the Security Administrator permissions. For details, see the *Identity and Access Management User Guide*.

# **2** Process Overview

This section describes how to quickly enable database audit.

### **Background**

Database audit supports auditing user-installed databases on ECS/BMS as well as RDS databases on the management console.

### **NOTICE**

- Ensure the VPC, security group, and subnet of the database audit instance are the same as those of the node (application side or database side) where you plan to install the database audit agent. Otherwise, the instance will be unable to connect to the agent or perform audit.
- If SSL is enabled for a database, the database cannot be audited. To use database audit, disable SSL first.

Create a database audit instance, connect the instance with the target database, and enable database audit.

### **Auditing Databases Using Agents**

Add a database.

Add an agent.

Add a security group rule.

Install an agent.

Enable database audit.

View the audit results.

Figure 2-1 Procedure for quickly configuring database audit

Table 2-1 Procedure for quickly configuring database audit

Step	Configuration	Description
1	Adding a Database	Apply for database audit. Add a database to the database audit instance and enable audit for the database.
2	Adding an Agent	Select an agent add mode.  Database audit supports auditing databases built on ECS, BMS, and RDS on the cloud. Select an agent add mode based on your database deployed on the management console.
4	Installing an Agent (Linux OS)	Download and then install the agent on the database or application, as required by the add mode you chose.
5	Enabling Database Audit	Enable database audit and connect the added database to the database audit instance.

Step	Configuration	Description
6	Viewing the Audit Results	By default, database audit complies with a <b>full audit rule</b> , which is used to audit all databases that are connected to the database audit instance. You can view the audit result on the database audit page.

# Verifying the Result

When you connect the added database to the database audit instance, database audit records all operations performed on the database. You can view the audit result on the database audit page.

# 3 Applying for a Database Audit Instance

Before using the database audit function, you need to apply for a database audit instance.

Ensure the VPC of the database audit instance is the same as that of the node (application side or database side) where you plan to install the database audit agent. Otherwise, the instance will be unable to connect to the agent or perform audit.

### Impact on the System

Database audit works in out-of-path mode, which neither affects user services nor conflicts with the local audit tools.

### **Procedure**

- **Step 1** Log in to the management console.
- **Step 2** Select a region, click —, and choose **Security > Database Security Service**. The **Dashboard** page is displayed.
- Step 3 In the upper right corner, click Apply for Database Audit.
- **Step 4** On the **Apply for Database Audit** page, select an **AZ** and a **Type**.
  - **AZ**: If resources are sold out in an AZ, **Sold out in this AZ** will be displayed for the AZ. In this case, select another AZ.
  - **Type**: For details about the supported editions, see **Editions**.
- **Step 5** Set database audit parameters. See **Table 3-1**.

Table 3-1 Parameters

Parameter	Description	Example Value
VPC	You can select an existing VPC, or click <b>View VPC</b> to create one.	vpc-sec
	NOTE	
	<ul> <li>Select the VPC of the node (application or database side) where you plan to install the agent.</li> </ul>	
	<ul> <li>To change the VPC of a DBSS instance, unsubscribe from it and apply for a new one.</li> </ul>	
	For more information about VPC, see <i>Virtual Private Cloud User Guide</i> .	
Security Group	The security group configured for the instance is displayed on the page. Once a security group is selected for an instance, the instance is protected by the access rules of this security group.	sg
	For more information about security groups, see <i>Virtual Private Cloud User Guide</i> .	
Subnet	The <b>Subnet</b> drop-down list displays all available subnets.	public_subn et
	For more information about subnets, see <i>Virtual Private Cloud User Guide</i> .	
Instance Name	Custom name of the instance	DBSS-test

- **Step 6** Confirm the configuration and click **Try Now**.
- **Step 7** On the details confirmation page, you can click **Submit**.

On the **Instances** page, you can view the created database audit instance.

If the **Status** is **Running**, you have successfully applied for the database audit instance.

----End

# 4 Step 1: Add a Database

Database audit supports databases built on ECS, BMS, and RDS on the console. After applying for a database audit instance, you need to add the database to be audited to the instance.

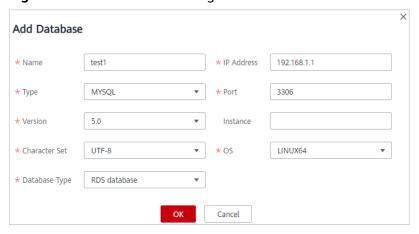
### **Prerequisites**

You have applied for a database audit instance and the **Status** is **Running**.

### Adding a Database

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Databases**.
- **Step 4** In the **Instance** drop-down list, select the instance whose database is to be added.
- Step 5 Click Add Database.
- **Step 6** In the dialog box displayed, set the database information, as shown in Figure 4-1.

Figure 4-1 Add Database dialog box



**Table 4-1** Parameters

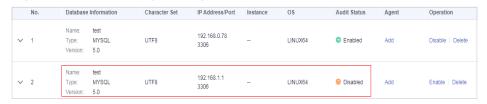
Parameter	Description	Example Value
Name	Custom name of the database to be added	test1
IP Address	IP address of the database to be added.  The IP address must be an internal IP address in IPv4 or IPv6 format.	IPv4: 192.168.1.1 IPv6: fe80:0000:0 000:0000:00 0:0000:000
Туре	Supported database type. The options are as follows:  MYSQL  ORACLE  POSTGRESQL  SQLSERVER  DWS  TAURUS  GaussDB  DAMENG  KINGBASE  SHENTONG  GBase 8a  GBase XDM Cluster  Greenplum  HighGo  Mariadb  NOTE  If ORACLE is selected, to make the audit settings take effect, restart the applications to be audited and log in to the database again.	MYSQL
Port	Port number of the database to be added	3306

Parameter	Description	Example Value
Version	Supported database versions	5.0
	• When <b>Type</b> is set to <b>MYSQL</b> , the following versions are available:	
	• When <b>Type</b> is set to <b>ORACLE</b> , the following versions are available:	
	– 11g	
	- 12c	
	– 19c	
	When <b>Type</b> is set to <b>POSTGRESQL</b> , the following versions are available:	
	- 7.4	
	- 8.0 8.0, 8.1, 8.2, 8.3, 8.4	
	- 9.0 9.0, 9.1, 9.2, 9.3, 9.4, 9.5, 9.6	
	- 10.0 10.0, 10.1, 10.2, 10.3, 10.4, 10.5	
	- 11.0	
	- 12.0	
	- 13.0	
	When <b>Type</b> is set to <b>SQLSERVER</b> , the following versions are available:	
	- 2008	
	- 2012	
	- 2014	
	- 2016	
	- 2017	
	When <b>Type</b> is set to <b>DWS</b> , the following versions are available:	
	- 1.5	
	When <b>Type</b> is set to <b>TAURUS</b> , the following versions are available:	
	- MySQL 8.0	
	• When <b>Type</b> is set to <b>GaussDB</b> , the following version is available:	
	– 1.4 Enterprise Edition	
	• When <b>Type</b> is set to <b>DAMENG</b> , the following version is available:	
	- DM8	
	When <b>Type</b> is set to <b>KINGBASE</b> , the following version is available:	

Parameter	Description	Example Value
	- V8	
Instance	Instance name of the database to be audited  NOTE  If you do not configure the Instance field, database audit will audit all instances in the database.  If you enter an instance name, database audit will audit the entered instance. Enter a maximum of five instance names and use semicolons (;) to separate instance names.	
Character Set	Encoding format of the database character set. The options are as follows:  UTF-8  GBK	UTF-8
OS	OS of the added database. The options are as follows:  • LINUX64	LINUX64
Database Type	Type of the database to be added. Its value can be RDS database or Self-built database.	RDS database

**Step 7** Click **OK**. Then a database in the **Disabled** state has been added to the database list. See **Figure 4-2**.

Figure 4-2 Successfully adding a database



### □ NOTE

• After adding the database, confirm that the database information is correct. If the database information is incorrect, locate the target database and click **Delete** in the **Operation** column, and add the database again.

----End

# **5** Step 2: Add an Agent

Add a new agent or choose an existing agent for the database to be audited, depending on your database type. The agent will obtain database access traffic, upload traffic statistics to the audit system, receive audit system configuration commands, and report database monitoring data.

After adding an agent, configure TCP (port 8000) and UDP (ports 7000 to 7100) in the security group inbound rule of the agent node to allow the agent to communicate with the audit instance.

### □ NOTE

Currently, only the following types of databases support agent-free audit:

- GaussDB for MySQL
- RDS for SQLServer
- RDS for MySQL
  - 5.6 (5.6.51.1 or later)
  - 5.7 (5.7.29.2 or later)
  - 8.0 (8.0.20.3 or later)

### **Prerequisites**

- You have applied for a database audit instance and the **Status** is **Running**.
- A database has been added.

### **Scenarios**

Determine where to add the agent based on how your database is deployed. Common database deployment modes are as follows:

• Deploy DBSS for databases built on ECS/BMS. For details, see **Figure 5-1** and **Figure 5-2**.

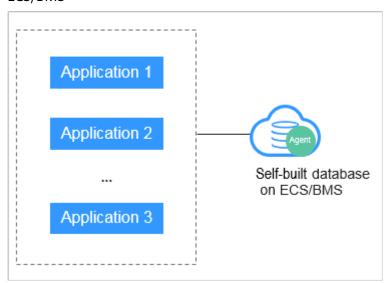
Self-built databases on ECS/BMS

Agent

...

**Figure 5-1** One application connecting to multiple databases built on ECS/BMS

**Figure 5-2** Multiple applications connecting to one database built on ECS/BMS



• Deploy DBSS for RDS databases. For details, see Figure 5-3 and Figure 5-4.

Application on the cloud

Application Agent

Application off the cloud

Application off the cloud

Application Proxy Agent

Proxy Agent

Application ...

Figure 5-3 One application connecting to multiple RDS databases

Figure 5-4 Multiple applications connecting to one RDS database

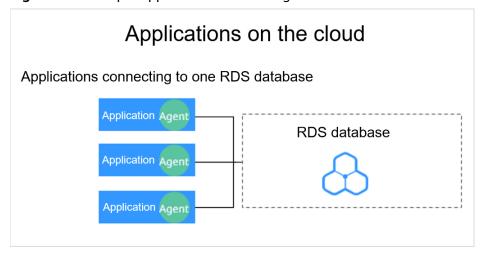


Table 5-1 provides more details.

### **NOTICE**

 If your applications and databases (databases built on ECS/BMS) are deployed on the same node, add the agent on the database side.

**Table 5-1** Agent locations

Scenario	Where to Add the Agent	Audit Scope	Description
Databases built on ECS/BMS	Database	All access records of applications that have accessed the database	<ul> <li>Add the agent on the database side.</li> <li>If an application connects to multiple databases built on ECS/BMS, the agent must be added on all these databases.</li> </ul>
RDS database	Application (if applications are deployed on the cloud)	Access records of all the databases connected to the application	<ul> <li>Add the agent on the application side.</li> <li>If an application connects to multiple RDS databases, add an agent on each of the databases. Set Installation Node         Type for one of them and select Select an existing agent for the rest of them. For details, see         Selecting an existing agent.</li> <li>If multiple applications connect to the same RDS database, add the agent must on all these applications.</li> </ul>
	Proxy side (if applicatio ns are deployed off the cloud)	Only the access records between the proxy and database. Those between the applications and database cannot be audited.	<ul> <li>Add the agent on the application side.</li> <li>Installing Node IP         Address must be set to the IP address of the proxy.</li> </ul>

### Adding an Agent (Self-built Databases on ECS/BMS)

- **Step 1** Log in to the management console.
- Step 2 Select a region, click =, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Databases**.
- **Step 4** In the **Instance** drop-down list, select the instance whose agent is to be added.

- **Step 5** In the **Agent** column of the desired database, click **Add**.
- **Step 6** In the dialog box displayed, select an add mode, as shown in **Figure 5-5**. For details about related parameters, see **Table 5-2**.

Figure 5-5 Adding an agent to a database

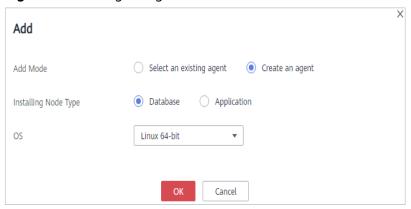


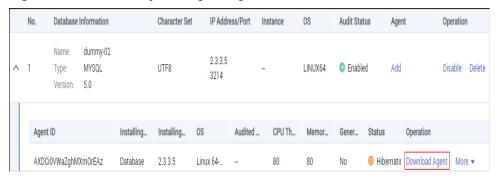
Table 5-2 Parameters for adding an agent (databases built on ECS/BMS)

Parameter	Description	Example Value
Add Mode	<ul> <li>Select an existing agent         <ul> <li>If an agent has been installed on a database connected to the same application as the desired database, select Select an existing agent.</li> </ul> </li> </ul>	Create an agent
	<ul> <li>Create an agent         If no agent is available, select Create an agent to create one.     </li> </ul>	
Installing Node Type	This parameter is mandatory when <b>Add Mode</b> is set to <b>Create an agent</b> .  When auditing user-installed databases on ECS/BMS, select <b>Database</b> for <b>Installing Node Type</b> .	Database
OS	OS of the database to be audited. Its value can be . You can select LINUX64-X86, LINUX64-ARM, or WINDOWS64.	LINUX64- X86
	NOTE Select LINUX64_X86 or LINUX64_ARM based on the server architecture.	

### Step 7 Click OK.

**Step 8** Click in the lower part of the database list page to expand the database details and view the information about the added agent.

Figure 5-6 Successfully adding an agent



### □ NOTE

After adding the agent, confirm that the agent information is correct. If the agent is incorrectly added, locate the target agent, click **More** > **Delete** in the **Operation** column, and add an agent again.

#### ----End

### Adding an Agent (RDS Databases)

#### 

After you add a MySQL or GaussDB(for MySQL) database, you can start configuring security group rules. You do not need to install an agent on the database.

If an application connects to multiple RDS databases, be sure to:

- Add an agent to each of the RDS databases.
- Select **Select an existing agent** if one of the databases already has an agent. Add that agent for the rest of the databases.
- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Databases**.
- **Step 4** In the **Instance** drop-down list, select the instance whose agent is to be added.
- **Step 5** In the **Agent** column of the desired database, click **Add**.
- **Step 6** In the displayed dialog box, select an add mode, as shown in **Figure 5-7** and **Figure 5-8**. For details about related parameters, see **Table 5-3**.
  - Select Select an existing agent for Add Mode.

### **◯** NOTE

If an agent has been installed on the application, you can select it to audit the desired database.

AXaSxiGbzoA3BGc6i4\_g

Figure 5-7 Selecting an existing agent

• Set Add Mode to Create an agent.

Database Name

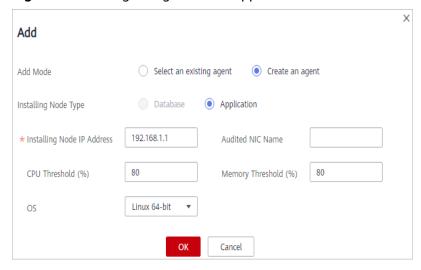
\* Agent ID

If no agent is available, select **Create an agent** to create one.

Select **Installing Node Type** to **Application**, and set **Installing Node IP Address** to the intranet IP address of the application.

Cancel

Figure 5-8 Adding an agent to an application



**Table 5-3** Parameters for adding an agent (RDS databases)

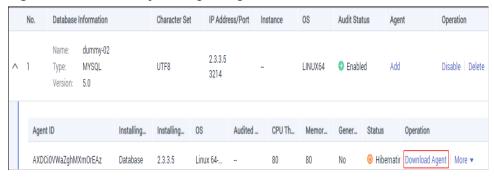
Parameter	Description	Example Value
Add Mode	<ul> <li>Selecting an existing agent         <ul> <li>If an agent has been installed on a database connected to the same application as the desired database, select Select an existing agent.</li> </ul> </li> <li>Create an agent         <ul> <li>If no agent is available, select Create an agent to create one.</li> </ul> </li> </ul>	Create an agent

Parameter	Description	Example Value
Installing Node Type	This parameter is mandatory when <b>Add Mode</b> is set to <b>Create an agent</b> .	Application
	To audit the RDS databases, select <b>Application</b> .	
Installing Node IP Address	This parameter is mandatory if <b>Installing Node Type</b> is set to <b>Application</b> . You can enter only one installation node IP address. The IP address of an agent must be unique.	192.168.1.1
	The IP address is the intranet IP address of the application.	
	The IP address must be an internal IP address in IPv4 or IPv6 format.	
	NOTICE  To audit an RDS database connected to an off-cloud application, set this parameter to the IP address of the proxy.	
Audited NIC Name	Optional. This parameter is configurable if <b>Installing Node Type</b> is set to <b>Application</b> .	-
	Name of the network interface card (NIC) of the application node to be audited	
CPU Threshold (%)	Optional. This parameter is configurable if <b>Installing Node Type</b> is set to <b>Application</b> .	80
	CPU threshold of the application node to be audited. The default value is <b>80</b> .	
	NOTICE  If the CPU usage of a server exceeds the threshold, the agent on the server will stop running.	
Memory Threshold (%)	Optional. This parameter is configurable if <b>Installing Node Type</b> is set to <b>Application</b> .	80
	Memory threshold of the application node to be audited. The default value is <b>80</b> . <b>NOTICE</b>	
	If the memory usage of your server exceeds the threshold, the agent will stop running.	
os	Optional. This parameter is configurable if <b>Installing Node Type</b> is set to <b>Application</b> .	LINUX64
	OS of the application node to be audited. The value can be <b>LINUX64</b> or <b>WINDOWS64</b> .	

# Step 7 Click OK.

**Step 8** Click ^ next to the database to view its details and information about the added agent.

Figure 5-9 Successfully adding an agent



### □ NOTE

After adding the agent, confirm that the agent information is correct. If the agent is incorrectly added, locate the target agent, click **More** > **Delete** in the **Operation** column, and add an agent again.

----End

# Follow-Up Procedure

After adding an agent, install the agent on the database or application based on the add mode you chose. Database audit works only when the database to be audited is connected to the database audit instance. For details about how to install an agent, see **Installing an Agent**.

2021-06-15

# 6 Step 3: Download and Install the Agent

# 6.1 Downloading an Agent

Download and then install the agent on the database or application, as required by the add mode you chose.

#### 

Each agent has a unique ID, which is used as the key for connecting to a database audit instance. If you delete an agent and add it back, you need to download the agent again.

# **Prerequisites**

- You have applied for a database audit instance and the **Status** is **Running**.
- You have added an agent to the database.

### **Procedure**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Databases**.
- **Step 4** In the **Instance** drop-down list, select the instance whose agent is to be downloaded.
- **Step 5** Click in the lower part of the database list to expand the agent details. Locate the target agent and click **Download Agent** in the **Operation** column. to download an agent installation package.

Database Information Character Set IP Address/Port Instance 08 **Audit Status** Operation Agent dummy-02 Name: 2.3.3.5 **^** ] MYSOL UTF8 LINUX64 Enabled Add Disable Delete Type: 3214 Version: 5.0 Agent ID Audited ... Memor... Operation AXDCi0VWaZqhMXm0rEAz 2.3.3.5 Linux 64-... 80 Hibernatir | Download Agent | Database

Figure 6-1 Downloading an agent

Download the agent installation package suitable for your OS.

- Linux OS
   Download the agent whose OS is LINUX64.
- Windows OS
   Download the agent whose OS is WINDOWS64.

----End

# 6.2 Installing an Agent (Linux OS)

You can enable database audit only after the agent is installed. This topic describes how to install the agent on a node running a Linux OS. For details about how to install an agent on the Windows OS, see **Installing an Agent (Windows OS)**.

## **Prerequisites**

- You have applied for a database audit instance and the **Status** is **Running**.
- You have added an agent to your database.
- You have obtained the agent installation package for the Linux OS.
- The Linux OS version of the target node is supported by the agent.

### **Scenarios**

You can install the agent on the database or application side, depending on your database type and deployment scenario. Common database scenarios are as follows:

• Deploy DBSS for databases built on ECS/BMS. For details, see **Figure 6-2** and **Figure 6-3**.

Application

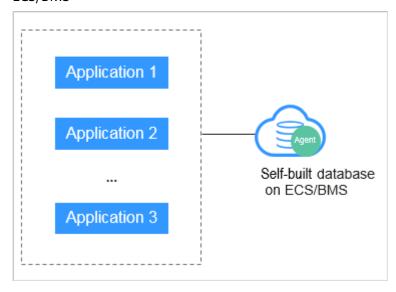
Self-built databases on ECS/BMS

Agent

...

**Figure 6-2** One application connecting to multiple databases built on ECS/BMS

**Figure 6-3** Multiple applications connecting to one database built on ECS/BMS



• Deploy DBSS for RDS databases. For details, see Figure 6-4 and Figure 6-5.

2021-06-15

Application on the cloud

Application Agent

Application off the cloud

Application off the cloud

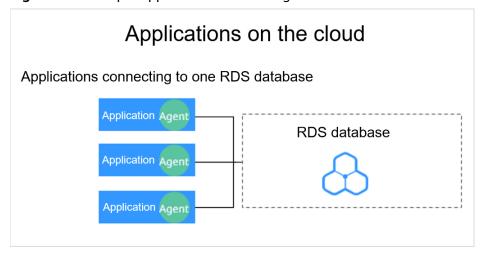
Application Proxy Agent

Application Proxy Agent

Application ...

Figure 6-4 One application connecting to multiple RDS databases

Figure 6-5 Multiple applications connecting to one RDS database



**Table 6-1** describes where to install the agent in the preceding scenarios.

### **NOTICE**

If your applications and databases (databases built on ECS/BMS) are deployed on the same node, install the agent on the database side.

2021-06-15

Scenario	Where to Install Agent	Audit Scope	Description
Self-built database on ECS/BMS	Database	All access records of applications that have accessed the database	<ul> <li>Install the agent on the database side.</li> <li>If an application connects to multiple databases built on ECS/BMS, the agent must be installed on all these databases.</li> </ul>
RDS database	Applicatio n side (if applicatio ns are deployed on the cloud)	Access records of all the databases connected to the application	<ul> <li>Install the agent on the application side.</li> <li>If multiple applications are connected to the same RDS database, the agent must be installed on all these applications.</li> </ul>
RDS database	Proxy side (if applicatio ns are deployed off the cloud)	Only the access records between the proxy and database. Those between the applications and database cannot be audited.	Install the agent on the proxy side.

**Table 6-1** Agent installation scenarios

# Installing an Agent

Install the agent on the node suitable for your service scenario.

- **Step 1** Upload the downloaded agent installation package **xxx.tar.gz** to the node (for example, using WinSCP).
- **Step 2** Log in to the node as user **root** using SSH through a cross-platform remote access tool (for example, PuTTY).
- **Step 3** Run the following command to access the directory where the agent installation package **xxx.tar.gz** is stored:
  - cd Directory\_containing\_agent\_installation\_package
- **Step 4** Run the following command to decompress the installation package **xxx.tar.gz**:
  - tar -xvf xxx.tar.gz
- **Step 5** Run the following command to switch to the directory containing the decompressed files:
  - cd Decompressed\_package\_directory
- **Step 6** Run the following command to check whether you have the permission for executing the **install.sh** script:

ll

- If you do, go to Step 7.
- If you do not, perform the following operations:
  - a. Run the following command to get the script execution permission:

#### chmod +x install.sh

b. Verify you have the required permissions.

### **Step 7** Run the following command to install the agent:

### sh install.sh

### □ NOTE

In Ubantu, run the following command to install the agent:

#### bash install.sh

If the following information is displayed, the agent has been installed. Otherwise, the installation fails.

start agent starting audit agent audit agent started start success install dbss audit agent done!

### **NOTICE**

If the agent installation failed, ensure the OS version of the target node is supported and try again.

**Step 8** Run the following command to view the running status of the agent program:

### service audit agent status

If the following information is displayed, the agent is running properly: audit agent is running.

----End

# 6.3 Installing an Agent (Windows OS)

You can enable database audit only after the agent is installed. This topic describes how to install the agent on a node running a Windows OS. For details about how to install an agent on the Linux OS, see **Installing an Agent (Linux OS)**.

# **Prerequisites**

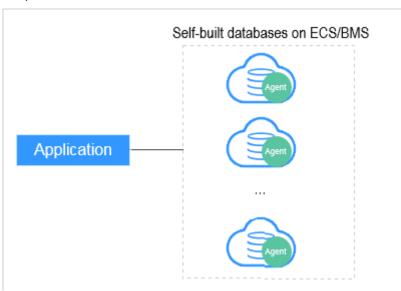
- You have applied for a database audit instance and the Status is Running.
- You have added an agent to your database.
- You have obtained the agent installation package for the Windows OS.
- The Windows OS version of the target node is supported by the agent.

### **Scenarios**

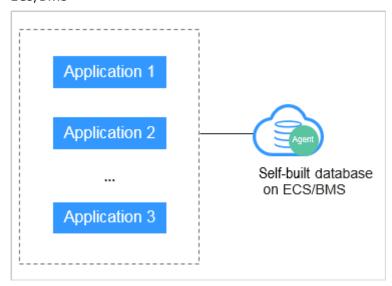
You can install the agent on the database or application side, depending on your database type and deployment scenario. Common database scenarios are as follows:

• Deploy DBSS for databases built on ECS/BMS. For details, see **Figure 6-6** and **Figure 6-7**.

**Figure 6-6** One application connecting to multiple databases built on ECS/BMS



**Figure 6-7** Multiple applications connecting to one database built on ECS/BMS



• Deploy DBSS for RDS databases. For details, see Figure 6-8 and Figure 6-9.

Application on the cloud

Application Agent

Application off the cloud

Application off the cloud

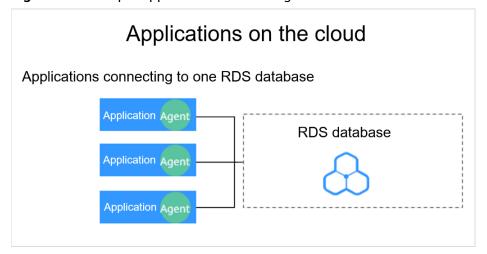
Application Proxy Agent

Application Proxy Agent

Application ...

Figure 6-8 One application connecting to multiple RDS databases

Figure 6-9 Multiple applications connecting to one RDS database



**Table 6-2** describes where to install the agent in the preceding scenarios.

### **NOTICE**

If your applications and databases (databases built on ECS/BMS) are deployed on the same node, install the agent on the database side.

Scenario	Where to Install Agent	Audit Scope	Description
Self-built database on ECS/BMS	Database	All access records of applications that have accessed the database	<ul> <li>Install the agent on the database side.</li> <li>If an application connects to multiple databases built on ECS/BMS, the agent must be installed on all these databases.</li> </ul>
RDS database	Applicatio n side (if applicatio ns are deployed on the cloud)	Access records of all the databases connected to the application	<ul> <li>Install the agent on the application side.</li> <li>If multiple applications are connected to the same RDS database, the agent must be installed on all these applications.</li> </ul>
RDS database	Proxy side (if applicatio ns are deployed off the cloud)	Only the access records between the proxy and database. Those between the applications and database cannot be audited.	Install the agent on the proxy side.

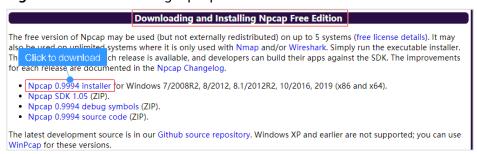
Table 6-2 Agent installation scenarios

# **Installing an Agent**

**Step 1** Install Npcap on the Windows server.

- If Npcap has been installed on the Windows OS, go to Step 2.
- If the Npcap has not been installed on the Windows server, perform the following steps:
  - Download the latest Npcap software installation package from https:// nmap.org/npcap/.

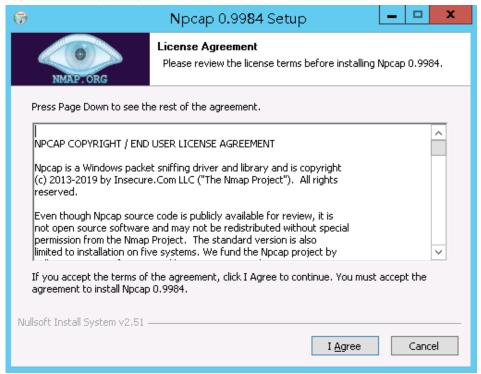
Figure 6-10 Downloading Npcap



b. Upload the **npcap-**xxxx.**exe** software installation package to the VM where the agent is to be installed.

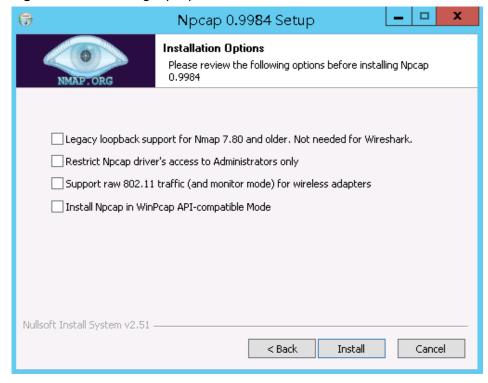
- c. Double-click the Npcap installation package.
- d. In the displayed dialog box, click I Agree, as shown in Figure 6-11.

Figure 6-11 Agreeing to install Npcap

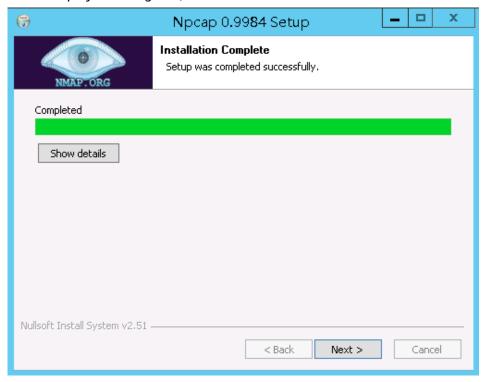


e. In the displayed dialog box, leave all the check boxes unselected and click **Install**, as shown in **Figure 6-12**.

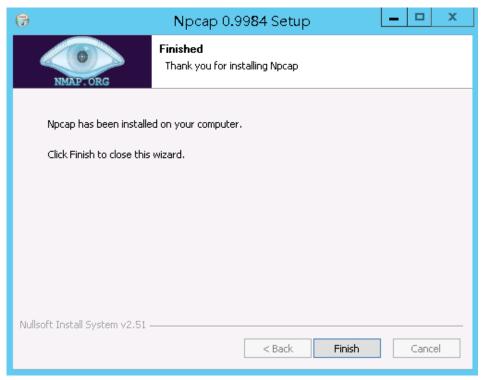
Figure 6-12 Installing Npcap



f. In the displayed dialog box, click Next.



g. Click Finish.



- **Step 2** Log in to the target Windows server as the **Administrator** user.
- **Step 3** Copy the downloaded .zip agent installation package to any directory on the server.

- **Step 4** Decompress the package.
- **Step 5** Double-click the **install.bat** file in the package directory.
- **Step 6** Press any key to complete installation after the output shown in **Figure 6-13** is displayed.

Figure 6-13 Installation completed

```
DBSS Servcie Audit Agent Install
 <del>*****************</del>
install DBSS audit agent start...
check npcap existed success
check main process file success
check child process file success
check dll file success
check dll file success
check startup file success
             1
             1
             1
check dbss agent config file success
check log folder success
install DBSS audit agent success
start DBSS audit agent success
```

**Step 7** Check the installation result. If the dbss\_audit\_agent process can be found in the Windows Task Manager, the installation succeeded.

If it is not found, install the agent again.

----End

# Step 4: Add a Security Group Rule

Configure TCP (port 8000) and UDP (ports 7000 to 7100) in the security group inbound rule of the database audit instance to allow the agent to communicate with the audit instance.

This section describes how to configure TCP (port 8000) and UDP (ports 7000 to 7100) for a security group.

### □ NOTE

You can configure security group rules before or after installing an agent.

# **Prerequisites**

- You have applied for a database audit instance and the **Status** is **Running**.
- You have added an agent to your database.

# Adding a Security Group Rule

- **Step 1** Log in to the management console.
- **Step 2** In the navigation tree on the left, choose **Database Audit** > **Databases**.
- **Step 3** In the **Instance** drop-down list, select the instance whose security group rule is to be added.
- **Step 4** Record the IP address of the agent node.
  - Click  $\checkmark$  next to the database to view the information of its agent, and record **Installing Node IP Address**.
- **Step 5** Click **Add Security Group Rule**.
- **Step 6** In the displayed dialog box, record the security group name (for example, **default**) of the database audit instance.
- Step 7 Click Go to VPC.
- **Step 8** In the security group list, enter the group name **default** in the search box in the upper right corner of the list, and click or press **Enter**. The group information is displayed in the list.

### **Step 9** Click the group name **default**.

### **Step 10** Click the **Inbound Rules** tab.

Check whether TCP (port number **8000**) and UDP protocols (port number from **7000** to **7100**) are configured in the inbound rules of the security group for the IP address of the installing node in **Step 4**.

- If the inbound rules of the security group have been configured for the installing node, go to **Downloading an Agent**.
- If no inbound rules of the security group have been configured for the installing node, go to **Step 11**.

### **Step 11** Add an inbound rule for the installing node.

#### 1. Click **OK**.

After adding a security group rule, download and install the agent on a database or application, depending on the add mode you chose. Database audit can be enabled only if the audited object is connected to the database audit instance.

----End

# 8 Step 5: Enable Database Audit

By default, database audit complies with a **full audit rule**, which is used to audit all databases that are connected to the database audit instance. You can enable audit and check audit results. For details, see **Viewing the Audit Dashboard**.

# **Prerequisites**

You have added and installed an agent, and the agent status is Running.

# **Enabling Database Audit**

- **Step 1** Log in to the management console.
- **Step 2** In the navigation tree on the left, choose **Databases**.
- **Step 3** Select a database audit instance from the **Instance** drop-down list.
- **Step 4** In the database list, click **Enable** in the **Operation** column of the database you want to audit.

The **Audit Status** of the database is **Enabled**. You do not need to restart the database.

Figure 8-1 Enabling database audit



----End

# **Verifying Audit Results**

- **Step 1** Run an SQL statement (for example, **show databases**) in the target database.
- **Step 2** Log in to the management console.
- **Step 3** In the left navigation pane, choose **Dashboard**.

- **Step 4** In the navigation tree on the left, choose **Data Reports**. The **Data Reports** page is displayed.
- **Step 5** In the **Instance** drop-down list, select the instance that audits the target database.
- Step 6 Click the Statements tab.
- Step 7 Click in next to Time to set the start and end time, and click Submit.

Figure 8-2 Viewing SQL statements



# 9 Adding Audit Scope

By default, database audit complies with a full audit rule, which is used to audit all databases that are successfully connected to database audit. You can also add audit scope and specify the databases to be audited.

### **NOTICE**

By default, the full audit rule takes effect even if other rules exist. To make another audit rule take effect, disable the full audit rule first.

# **Prerequisites**

- You have applied for a database audit instance and the Status is Running.
- Database audit has been enabled.

### **Procedure**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree, choose **Rules**.
- **Step 4** In the **Instance** drop-down list, select an instance to add audit scope.
- **Step 5** Add Audit Scope above the audit scope list.

### **Ⅲ** NOTE

- By default, database audit complies with a **full audit rule**, which is used to audit all databases that are connected to the database audit instance. This audit rule is enabled by default. You can disable it but cannot delete it.
- To make a custom rule take effect, disable the full audit rule first.
- **Step 6** In the displayed dialog box, set the audit scope, as shown in **Figure 9-1**. For details about related parameters, see **Table 9-1**.

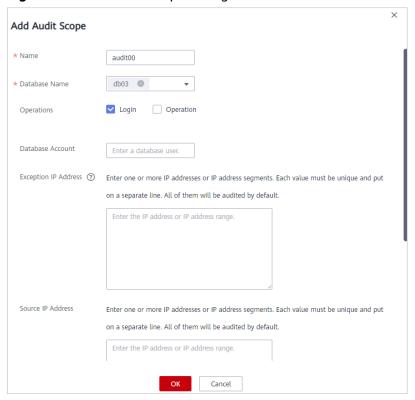


Figure 9-1 Add Audit Scope dialog box

Table 9-1 Parameters

Parameter	Description	Example Value
Name	Name of the custom audit scope	audit00
Database Name	Database to be added to the audit scope	db03
Operations	Audited operation type. It can be <b>Login</b> or <b>Operation</b> .  When you select the <b>Operation</b> check box, you can select <b>All operations</b> or the operations in <b>DDL</b> , <b>DML</b> , and <b>DCL</b> .	Login
Database Account	(Optional) Database username. You can specify multiple accounts, separated by commas (,).	-
Exception IP Address	(Optional) IP addresses that do not need to be audited.  NOTE  If an IP address is set as both a source and an exception IP address, the IP address will not be audited.	-

Parameter	Description	Example Value
Source IP Address	(Optional) IP address or IP address range used for accessing the database to be audited	-
	The IP address must be an internal IP address in IPv4 or IPv6 format.	
Source Port	(Optional) Port number used for accessing the database to be audited	-

# Step 7 Click OK.

When the audit scope is added successfully, it is displayed in the audit scope list in the state of **Enabled**.

----End

# **Related Operations**

In addition to adding the audit scope, you can enable or disable SQL injection detection and add risky operations to set audit rules for database audit.

# 10 Enabling or Disabling SQL Injection Detection

SQL injection detection is enabled by default. You can disable or enable the detection rules.

### **NOTICE**

One piece of audited data can match only one SQL injection detection rule.

## **Prerequisites**

- You have applied for a database audit instance and the **Status** is **Running**.
- You can enable SQL injection detection when the status is **Disabled**.
- You can disable SQL injection detection when the status is Enabled.

# **Disabling SQL Injection Detection**

SQL injection detection is enabled by default. You can disable the detection rules as required. When an SQL injection detection rule is disabled, the audit rule does not take effect.

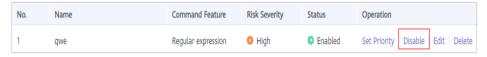
- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree, choose **Rules**.
- **Step 4** In the **Instance** drop-down list, select the instance for which you want to disable SQL injection detection.
- Step 5 Click the SQL Injection tab.

**◯** NOTE

Only user-defined rules can be edited and deleted. Default rules can only be enabled and disabled.

**Step 6** Locate the SQL injection rule you want to disable, and click **Disable** in the **Operation** column.

Figure 10-1 Disabling an SQL injection detection rule



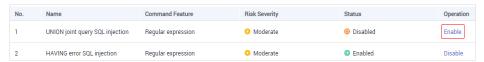
When the status of an SQL injection detection rule is **Disabled**, SQL injection detection is disabled successfully.

----End

# Follow-Up Procedure

To restart an SQL injection detection rule, click **Enable** in the **Operation** column of the target rule.

Figure 10-2 Enabling an SQL injection detection rule



When the status of an SQL injection detection rule is **Enabled**, SQL injection detection is enabled successfully.

# 11 Adding Risky Operations

After enabling database audit, add and configure risky operations for audit.

### **NOTICE**

One piece of audited data can match only one risky operation rule.

# **Prerequisites**

- You have applied for a database audit instance and the **Status** is **Running**.
- Database audit has been enabled.

### **Procedure**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree, choose **Rules**.
- **Step 4** In the **Instance** drop-down list, select an instance to add risky operations. Click the **Risky Operations** tab. Click **Add** above the risky operation list.
- **Step 5** On the **Add Risky Operation** page, set the basic information and client IP address, as shown in **Figure 11-1**. .

Basic Info

\* Name test

\* Risk Severity High Moderate Low No risk

Status

\* Select Database ALL © mydb01 mydb02

Client IP Address or IP Range

Enter an IP address or IP range. For multiple IP addresses or IP ranges, put one IP address or IP range in one line. Each IP address or IP range is unique. (All are audited by default.)

192.168.00

Figure 11-1 Setting the basic information and client IP address

Table 11-1 Parameters

Parameter	Description	Example Value
Name	Custom name of a risky operation	test
Risk Severity	Severity of a risky operation. The options are as follows:	High
	High	
	Moderate	
	• Low	
	No risks	
Status	Status of a risky operation	
Select Database	Database that the risky operation will be applied to	-
	You can select <b>ALL</b> or a specific database.	
Client IP	IP address or IP address range of the client	192.168.0.0
Address or IP Range	The IP address can be an IPv4 address (for example, 192.168.1.1) or an IPv6 address (for example, fe80:0000:0000:0000:0000:0000:0000:0000).	

**Step 6** Set the operation type, operation object, and execution result, as shown in **Figure 11-2**. For details about related parameters, see **Table 11-2**.

Figure 11-2 Setting the operation type, operation object, and execution result

Table 11-2 Parameters

Parameter	Description	Example Value
Operations	Type of a risky operation, including <b>Login</b> and <b>Operation</b>	Operation
	When you select the <b>Operation</b> check box, you can select <b>All operations</b> or the operations in <b>DDL</b> , <b>DML</b> , and <b>DCL</b> .	
Objects	Enter the schema, target table, and field information after clicking <b>Add Operation Object</b> . Click <b>OK</b> to add an operation object.	-
Results	Set Affected Rows and Operation Duration. The operation conditions are as follows:  • Greater than  • Less than  • Equal To  • Equal to or greater than  • Less than or equal to	-

Step 7 Click Save.

----End

# 12 Configuring Privacy Data Protection Rules

To mask sensitive information in entered SQL statements, you can enable the function of masking privacy data and configure masking rules to prevent sensitive information leakage.

## **Prerequisites**

- You have applied for a database audit instance and the **Status** is **Running**.
- Database audit has been enabled.

### **Procedure**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree, choose **Rules**.
- **Step 4** In the **Instance** drop-down list, select the instance whose privacy data protection rule is to be configured.
- Step 5 Click the Privacy Data Protection tab.

Only user-defined rules can be edited and deleted. Default rules can only be enabled and disabled.

- Step 6 Enable or disable Store Result Set and Mask Privacy Data.
  - Store Result Set

You are advised to disable . After this function is disabled, database audit will not store the result sets of user SQL statements.

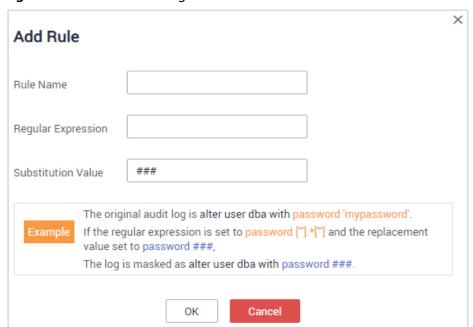
Do not enable this function if you want to prepare for PCI DSS/PCI 3DS CSS certification.

Mask Privacy Data

You are advised to enable . After this function is enabled, you can configure masking rules to prevent privacy data leakage.

**Step 7** Click **Add Rule**. In the displayed **Add Rule** dialog box, set the data masking rule, as shown in **Figure 12-1**. For details about related parameters, see **Table 12-1**.

Figure 12-1 Add Rule dialog box



**Table 12-1** Rule parameters

Parameter	Description	Example Value
Rule Name	Name of a rule	test
Regular Expression	Regular expression that specifies the sensitive data pattern	-
Substitution Value	Value used to replace sensitive data specified by the regular expression	###

### Step 8 Click OK.

A masking rule in the **Enabled** status is added to the rule list.

----End

# Verifying a Rule

Perform the following steps to check whether a rule takes effect. The audit information about passport No. in a MySQL database is used as an example.

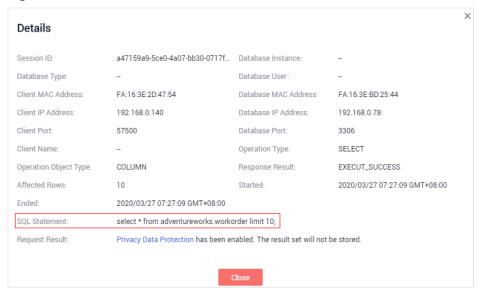
**Step 1** Enable **Mask Privacy Data**, and ensure the "Passport NO." masking rule is enabled, as shown in **Figure 12-2**.

Figure 12-2 Enabling privacy data protection



- **Step 2** Log in to the database as user **root** through the MySQL database client.
- Step 3 On the database client, enter an SQL statement.
  select \* from db where HOST="Passport NO.";
- Step 4 In the navigation pane, choose Dashboard.
- **Step 5** In the navigation tree on the left, choose **Data Reports**. The **Data Reports** page is displayed.
- **Step 6** In the **Instance** drop-down list, select the instance whose SQL statement information you want to view. Click the **Statements** tab.
- **Step 7** Set filtering conditions to find the entered SQL statement.
- **Step 8** In the row containing the SQL statement, click **Details** in the **Operation** column.
- **Step 9** Check the SQL statement information. The content of **SQL Statement** is shown in **Figure 12-3**, indicating that the masking function is normal.

Figure 12-3 SQL statement with sensitive data masked



----End

# **Common Operations**

After adding a user-defined masking rule, you can perform the following operations on it:

Disable

Locate the row that contains the rule to be disabled and click **Disable** in the **Operation** column. A disabled rule cannot be used.

- Edit
   Locate the row that contains the rule to be modified, click Edit in the
   Operation column, and modify the rule in the displayed dialog box.
  - Delete

    Locate the row that contains the rule to be deleted, click **Delete** in the **Operation** column, and click **OK** in the displayed dialog box.

# 13 Viewing SQL Statement Details

After connecting the database to the database audit instance, view SQL statements of the database.

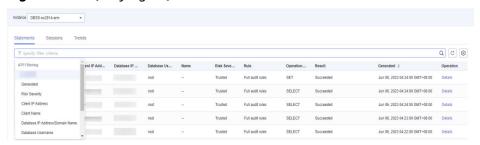
# **Prerequisites**

- You have applied for a database audit instance and the Status is Running.
- Database audit has been enabled.

### **Procedure**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the **Instance** drop-down list, select the instance whose SQL statement information you want to view.
- **Step 4** Click the **Statements** tab.
- **Step 5** View SQL statement information.

Figure 13-1 Querying SQL statements



To query a specified SQL statement, perform the following steps:

• Select All, Last 30 minutes, 1 hour, 24 hours, 7 days, or 30 days for Time and click Q to view SQL statements of the specified time range.

• Select **All**, **High**, **Moderate**, **Low**, or **Trusted** for **Risk Severity** and click Q. SQL statements of specified severity are displayed in the list.

**◯** NOTE

A maximum of 10,000 records can be retrieved in a query.

**Step 6** In the row containing the desired SQL statement, click **Details** in the **Operation** column.

Figure 13-2 Viewing details of SQL statements



**Step 7** View the SQL statement information in the **Details** dialog box, as shown in **Figure** 13-3. For details about related parameters, see **Table 13-1**.

### **NOTICE**

The maximum length of an audit statement or result set is 10,240 bytes. Excessive parts are not recorded in audit logs.

Figure 13-3 Details dialog box

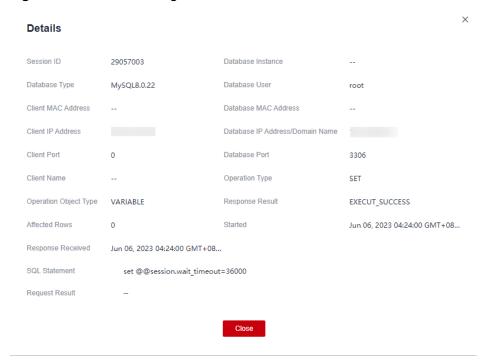


Table 13-1 Parameters for details of SQL statements

Parameter	Description
Session ID	ID of an SQL statement, which is automatically generated

Parameter	Description
Database Instance	Database where an SQL statement is executed
Database Type	Type of the database where an SQL statement is executed
Database User	Database user for executing an SQL statement
Client MAC Address	MAC address of the client where an SQL statement is executed
Database MAC Address	MAC address of the database where an SQL statement is executed
Client IP Address	IP address of the client where an SQL statement is executed
Database IP Address/Domain Name	IP address or the domain name of the database where an SQL statement is executed
Client Port	Port of the client where an SQL statement is executed
Database Port	Port of the database where the SQL statement is executed
Client Name	Name of the client where an SQL statement is executed
Operation Type	Type of an SQL statement operation
Operation Object Type	Type of an SQL statement operation object
Response Result	Response by executing an SQL statement
Affected Rows	Number of rows affected by executing an SQL statement
Started	Time when an SQL statement starts to be executed
Ended	Time when the SQL statement execution ends
SQL Statement	Name of an SQL statement
Request Result	Result of requesting for executing an SQL statement

----End

# 14 Viewing Session Distribution

After connecting the database to the database audit instance, view session distribution of the database.

# **Prerequisites**

- You have applied for a database audit instance and the **Status** is **Running**.
- Database audit has been enabled.

### **Procedure**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Data Reports**. The **Data Reports** page is displayed.
- **Step 4** In the **Instance** drop-down list, select the instance whose session information you want to view.
- **Step 5** Click the **Sessions** tab.
- **Step 6** View the session distribution chart, as shown in Figure 14-1.
  - Select **All databases** or a specified database from the **Database** drop-down list to view the sessions about all databases in the instance or a specified database.
  - Select Last 30 minutes, 1 hour, 24 hours, 7 days, or 30 days, or click to set start time and end time to view the sessions of the specified time range.

Figure 14-1 Viewing session distribution



----End

# 15 Viewing the Audit Dashboard

After connecting the database to the database audit instance, view the audit statistics, including the overall audit statistics, risk distribution, session statistics, and SQL distribution.

## **Prerequisites**

- You have applied for a database audit instance and the **Status** is **Running**.
- Database audit has been enabled.

### **Procedure**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Data Reports**. The **Data Reports** page is displayed.
- **Step 4** In the **Instance** drop-down list, select the instance whose audit information you want to view.
- **Step 5** View the overall audit statistics, risk distribution, session statistics, and SQL distribution.
  - Select **All databases** or a specified database from the **Database** drop-down list to view the statistics about all databases in the instance or a specified database.
  - Select Last 30 minutes, 1 hour, Today, 7 days, or 30 days, or click to customize start time and end time to view the statistics of the specified time range.

Figure 15-1 Viewing the audit statistics

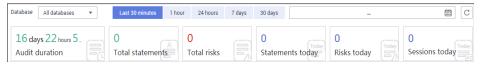


Figure 15-2 Risk distribution

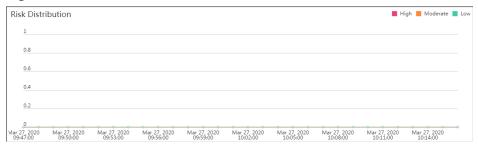
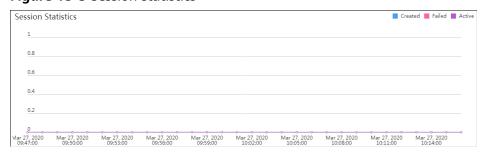


Figure 15-3 Session statistics



----End

# 16 Viewing Audit Reports

By default, database audit complies with a full audit rule, which is used to audit all databases that are successfully connected to the database audit instance. After connecting the database to the database audit instance, generate an audit report and preview online or download it.

### **Prerequisites**

- You have applied for a database audit instance and the **Status** is **Running**.
- Database audit has been enabled.

### **Report Types**

Database audit provides eight types of report templates. **Table 16-1** lists the report names. You can generate reports and set report tasks as needed.

**Table 16-1** Description

Template Name	Report Types	Description
Database Security General Report	Overview report	Provides the overall audit status of the database, including risks, sessions, and login status to better manage databases.
Database Security Compliance Report	Compliance report	This report helps database administrators and auditors detect abnormal behaviors, locate problems, and manage information.
SOX Report	Compliance report	Complies with the Sarbanes-Oxley Act (SOX) to provide statics on and evaluate database operations. This report helps database administrators and auditors detect abnormal behaviors, locate problems, and manage information.

Template Name	Report Types	Description
Database Server Analysis Report	Database report	Provides statistics and analysis on active users, user IP addresses, database logins and requests, database usage duration, and database performance.
Client IP Address Analysis Report	Client report	Provides statistics on client applications, database users, and SQL statements collected from user IP addresses.
DML Command Report	Database operation report	Analyzes user and privileged operations based on DML commands.
DDL Command Report	Database operation report	Analyzes user and privileged operations based on DDL commands.
DCL Command Report	Database operation report	Analyzes user and privileged operations based on DCL commands.

### **Step 1: Generating a Report**

You can generate reports immediately or periodically. You can also customize the generation time, frequency, and format of reports.

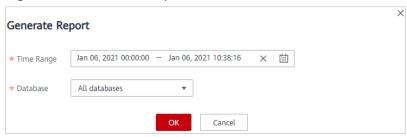
- Method 1: Generating a Report Immediately
- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security & Compliance > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Reports**.
- **Step 4** In the **Instance** drop-down list, select the instance whose instance report you want to generate.
- Step 5 Click the Report Management tab.
- **Step 6** In the **Operation** column of a report template, click **Generate Report**.

Figure 16-1 Report template list



**Step 7** In the displayed dialog box, click to set the start time and end time of the report, and select the database for which you want to generate a report.

Figure 16-2 Generate Report



### Step 8 Click OK.

- ----End
- Method 2: Setting Periodic Report Release
- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Reports**.
- **Step 4** In the **Instance** drop-down list, select the instance for which you want to set a report task.
- Step 5 Click the Report Management tab.
- **Step 6** Locate the target template and click **Schedule Task** in the **Operation** column, as shown in **Figure 16-3**.

Figure 16-3 Setting a task



**Step 7** In the displayed dialog box, set the parameters of the scheduled task, as shown in **Figure 16-4**. For details about related parameters, see **Table 16-2**.

× Schedule Task Notifications are sent and billed by SMN.Pricing Details ★ Enable Task \* Message Notifications C View \* SMN Topic -Select-Only SMN topics whose status is confirmed are available. \* Report Type Weekly • \* Execution Mode Periodically • 17:00 ★ Time ★ Database All databases

Figure 16-4 Setting a scheduled task

Table 16-2 Parameters for setting a task

ок

Parameter	Description	Example Value
Enable Task	Status of a scheduled task.	
	• : enabled	
	• : disabled	
Message	Enables or disables notifications.	
Notifications	• : enabled	
	• : disabled	
SMN Topic  Select an existing topic from the drop-down list or click <b>View Topic</b> and create an SMN topic on the displayed page for configuring the terminals for receiving alarm notifications.		-
	For details about topics and subscriptions, see Simple Message Notification User Guide.	

Cancel

Parameter	Description	Example Value
Report Type	Type of a report. The options are as follows:  • Daily  • Weekly  • Monthly	Weekly
Execution Mode	Execution mode of the report. The options are as follows:  • Once  • Periodically	Periodically
Time	Time when the report is executed	10:00
Database	Database for which you want to execute the report task	-

Step 8 Click OK.

----End

#### Step 2: Previewing and Downloading Audit Reports

Before previewing or downloading an audit report, ensure that its Status is 100%.

#### **NOTICE**

To preview a report online, use Google Chrome or Mozilla FireFox.

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Reports**.
- **Step 4** In the **Instance** drop-down list, select the instance whose report you want to preview or download.
- **Step 5** Locate the target template, and click **Preview** or **Download** in the **Operation** column to preview or download the report. See **Figure 16-5**..

Figure 16-5 Previewing or downloading an audit report

Name	Associated Da	Report Type	Generated	Format	Status	Operation
Database Servers Analys	All databases	Weekly	2020/03/22 17:05:04 GMT+08:00	pdf	100%	Preview More ▼
DML Command Report	All databases	Weekly	2020/03/22 17:05:03 GMT+08:00	pdf	100%	Prev Download Delete
DCI. Command Report	All databases	Mookh	2020/02/22 17:05:02 GMT+09:00	ndf	100%	Provious Moro =

----End

# **Configuring Alarm Notifications**

After configuring alarm notifications, you can receive DBSS alarms on database risks. If this function is not enabled, you have to log in to the management console to view alarms.

- Alarm notifications may be mistakenly blocked. If you have enabled notifications but not received any, check whether they have been blocked as spasms.
- The system collects alarm statistics every 5 minutes and sends alarm notifications (if any).
- You can also enable report notifications to get notified when the reports you subscribed to are generated. For details, see **Viewing Audit Reports**.

# **Prerequisites**

You have applied for a database audit instance and the **Status** is **Running**.

#### **Procedure**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Settings**.
- **Step 4** In the **Instance** drop-down list, select an instance to configure alarm notifications.
- **Step 5** Click the **Alarm Notifications** tab.
- **Step 6** Set alarm notifications. For details about related parameters, see **Table 17-1**.

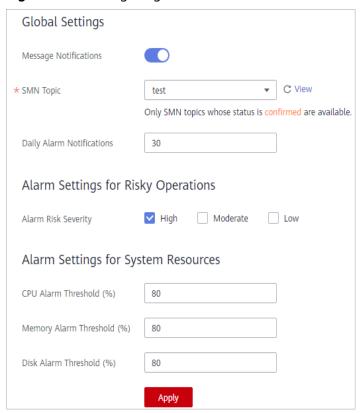


Figure 17-1 Configuring alarm notifications

**Table 17-1** Alarm notification parameters

Parameter	Description	Example Value
Message Notifications	Enables or disables notifications.	
SMN Topic	Select an existing topic from the drop-down list or click <b>View Topic</b> and create an SMN topic on the displayed page for configuring the terminals for receiving alarm notifications.	-
	NOTE  Before selecting a topic, ensure that the subscription status of the topic is <b>Confirmed</b> . Otherwise, alarm notifications may not be received.	
	For details about topics and subscriptions, see Simple Message Notification User Guide.	
Daily Alarm Notifications	Total number of alarms allowed to be sent every day  NOTICE  If the number of alarms exceeds this value on a day, no more notification will be sent on that day.	30
	There is no fixed time point for sending alarm notifications. The system collects statistics every 5 minutes and sends alarm notifications (if any).	

Parameter	Description	Example Value
Alarm Risk Severity	Risk severity of the risk log. The options are as follows:  • High  • Moderate  • Low	High
CPU Alarm Threshold (%)	CPU alarm threshold of an audit instance. When the threshold is exceeded, an alarm notification is generated.	80
Memory Alarm Threshold (%)	Memory alarm threshold of an audit instance. When the threshold is exceeded, an alarm notification is generated.	80
Disk Alarm Threshold (%)	Disk alarm threshold of an audit instance. When the threshold is exceeded, an alarm notification is generated.	80

# Step 7 Click Apply.

----End

# 18 Viewing the System Monitoring

This section describes how to view the system monitoring of database audit and learn about system resources and traffic usage.

# **Prerequisites**

- You have applied for a database audit instance and the **Status** is **Running**.
- Database audit has been enabled.

#### **Procedure**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Instances**.
- **Step 4** Click an instance name and then click the **Monitoring** tab. The **System Monitoring** page is displayed.
- **Step 5** View the system monitoring information, as shown in Figure 18-1.

Select Last 30 minutes, 1 hour, 24 hours, 7 days, or 30 days, or click to customize start time and end time to view the system monitoring information of the specified time range.

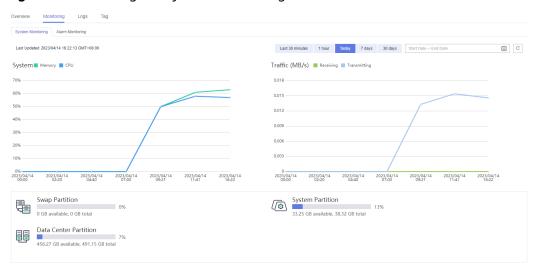


Figure 18-1 Viewing the system monitoring

----End

# 19 Viewing the Alarms

This section describes how to view and confirm alarms of database audit.

### **Prerequisites**

- You have applied for a database audit instance and the Status is Running.
- Database audit has been enabled.
- You have configured alarm notifications.

#### **Procedure**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Instances**.
- **Step 4** Click the name of an instance, click the **Monitoring** tab, and then the **Alarm Monitoring** tab.
- **Step 5** View the alarm information, as shown in **Figure 19-1**. For details about related parameters, see **Table 19-1**.

Figure 19-1 Viewing the alarms

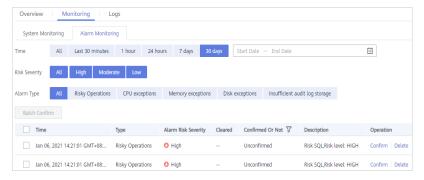


Table 19-1 Parameters of alarms

Parameter	Description	
Time	Time when an alarm occurred.	
Туре	Alarm type. The options are as follows:  Risky operations  CPU exceptions  Memory exceptions  Disk exceptions  Insufficient audit log storage	
Alarm Risk Severity	Risk severity of an alarm. The options are as follows:  • High  • Moderate  • Low	
Cleared	Time when an alarm is cleared	
Confirmed Or Not	Confirmation status of an alarm. Click $\overline{V}$ to filter alarms in <b>Unconfirmed</b> or <b>Confirmed</b> state.	
Description	Description of an alarm	

To query specified alarms, perform the following steps:

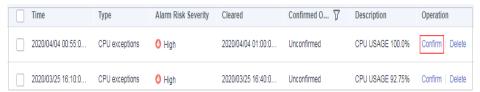
- Select Last 30 minutes, 1 hour, 24 hours, 7 days, or 30 days for Time, and click to view alarms of the specified time range.
- Select All, High, Moderate, or Low for Risk Severity. Alarms of specified severity are displayed in the list.
- Select an alarm type, and alarms of specified alarm type is displayed in the list.

----End

# Follow-Up Procedure

To confirm an alarm, click **Confirm** in the **Operation** column of the alarm.

Figure 19-2 Confirming an alarm



# □ NOTE

You can select multiple alarms to be confirmed and click **Batch Confirm** to batch confirm alarms.

# **20** Managing Database Audit Instances

After applying for a database audit instance, you can view, enable, restart, disable, or delete the instance.

# **Prerequisites**

- Before restarting and disabling an instance, ensure that its **Status** is **Running**.
- Before enabling or deleting an instance, ensure that its **Status** is **Disabled**.

# **Deleting an Instance**

You can delete a database audit instance that is no longer needed. You can also delete the associated EIP at the same time.

- **Step 1** Log in to the management console.
- **Step 2** Click in the upper left corner of the management console and select a region or project.
- Step 3 Click and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 4** In the navigation tree on the left, choose **Instances**.
- **Step 5** In the row containing the desired instance, choose **More** > **Delete** in the **Operation** column.
- **Step 6** In the displayed dialog box, click **OK**.

----End

# Viewing the Instance

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Instances**.

**Step 4** View the database audit instances information. For details about related parameters, see **Table 20-1**.

Figure 20-1 Viewing database audit instances

#### 

- You can click the name of an instance to view its overview.
- Select an instance status from the **All statuses** drop-down list in the upper right corner of the list, or enter a key word of an instance to search for it.

Table 20-1 Parameters

Parameter	Description
Instance Name/ID	Name and ID of an instance. Instance ID is automatically generated.
Specificatio ns	Edition of an instance
Status	Running status of an instance. The options are as follows:  Running Creating Faulty Disabled Frozen Frozen for legal management Frozen due to abuse Frozen due to lack of identity verification Frozen for partnership Creation failed
Associated Databases/ Total Databases	Number of databases an instance has associated with and Number of databases an instance supports
Operation	Operations can be performed on the instance. The options are as follows:  Configure Rules Enable Disable Restart View Details Delete

#### ■ NOTE

You can perform the following operations on instances as required:

Restart

Locate the row that contains the desired instance, choose **More** > **Restart** in the **Operation** column, and click **OK** in the displayed dialog box.

Fnable

Locate the row that contains the desired instance, choose **More** > **Enable** in the **Operation** column, and click **OK** in the displayed dialog box.

Disable

Locate the row that contains the desired instance, choose **More** > **Disable** in the **Operation** column, and click **OK** in the displayed dialog box. When an instance is disabled, the audit function is disabled for the databases on the instance.

Delete

Locate the row that contains the instance that failed to be created, choose **More** > **Delete** in the **Operation** column, and click **Delete** in the displayed dialog box. Deleted instances will not be displayed in the instance list.

View Details

Locate the row that contains the instance that failed to be created, choose **More** > **View Details** in the **Operation** column. In the dialog box that is displayed, view the instance creation failure details.

----End

# **21** Viewing the Instance Overview

This section describes how to view the instance overview, including the basic information, network settings and associated databases.

# **Prerequisites**

You have applied for a database audit instance and the Status is Running.

#### **Procedure**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Instances**.
- **Step 4** Click the name of the instance whose information you want to view. The **Overview** page is displayed.
- **Step 5** View the basic information, network settings, and associated databases about the instance. See **Figure 21-1**. For details about related parameters, see **Table 21-1**.

Figure 21-1 Viewing the instance overview



Table 21-1 Parameters of the instance overview

Categor y	Parameter	Description
Basic Info	Name	Instance name. You can click $\angle$ next to <b>Name</b> to change it.
	Status	Running status of an instance. The options are as follows:  Running  Creating  Faulty  Disabled  Frozen  Frozen for legal management  Frozen due to abuse  Frozen due to lack of identity verification  Frozen for partnership  Creation failed
	ID	Instance ID, which is automatically generated
	AZ	Availability Zone (AZ) where an instance resides
	Version	Version of the DBSS instance when you create the DBSS instance. The version of the DBSS instance created at different time may be different.  Impact scope of DBSS instance versions:  • Supported database types  • Supported database versions
	Remarks	Remarks about an instance. You can click unext to <b>Remarks</b> to modify it.
	Edition	Edition of an instance
	Created	Time when an instance is created
Network	VPC	VPC where an instance resides
Settings	Security Group	Security group where an instance resides
	Subnet	Subnet where an instance resides
	Private IP Address	IP address of an instance

Categor y	Parameter	Description
Associate d Databas e	-	Database information associated with an instance Click <b>Manage Database</b> , and the <b>Databases</b> page is displayed.

----End

# **22** Managing Databases and Agents

After adding a database successfully, you can view, disable or delete the database. After adding an agent to the database, you can view, disable or delete the agent.

#### **Prerequisites**

- You have applied for a database audit instance and the Status is Running.
- You have added a database successfully.
- Before disabling a database, ensure that Audit Status of the database is Enabled.

# Viewing the Database Information

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Databases**.
- **Step 4** In the **Instance** drop-down list, select the instance whose database you want to view.
- **Step 5** View the database information. For details about related parameters, see **Table 22-1**.

Figure 22-1 Viewing the database and agent information



□ NOTE

Select an audit status from the **All audit statuses** drop-down list in the upper right corner of the list, or enter a key word of a database to search for it.

Table 22-1 Parameters

Parameter	Description	Example Value
Database Information	Name, type, and version of a database	-
Character Set	Encoding character set of the database	UTF8
IP Address/ Port	IP address of the database	192.168.0.10 4
		3306
Instance	Database instance name	-
OS	Operating system of the database	LINUX64
Audit Status	Audit status of the database. The options are as follows:	Enabled
	<ul><li>Enabled</li><li>Disabled</li></ul>	
Agent	Click <b>Add</b> to add an agent for the database.	Add an agent.

#### ■ NOTE

You can perform the following operations on a database you added:

- Disable
  - Locate the row that contains the database to be disabled, click **Disable** in the
     Operation column, and click **OK** in the displayed dialog box. The **Audit Status** of the database will change to **Disabled**.
  - When a database is disabled, database audit is disabled for the database.
- Delete
  - Locate the row that contains the database to be deleted, click **Delete** in the Operation column, and click **OK** in the displayed dialog box.
  - You need to add the database again if a database is deleted and you want to audit the database.

#### ----End

# Viewing an Agent

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Databases**.
- **Step 4** In the **Instance** drop-down list, select the instance whose agent you want to view.

**Step 5** Click ✓ on the left of the database to expand the agent details, as shown in **Figure 22-2**. For details about related parameters, see **Table 22-2**.

Figure 22-2 Viewing the database and agent information



**Table 22-2** Parameters of an agent

Parameter	Description
Agent ID	Agent ID, which is automatically generated
Installing Node Type	Type of the installing node. The options are <b>Database</b> and <b>Application</b> .
Installing Node IP Address	IP address of the node where an agent is installed
OS	Agent OS
Audited NIC Name	NIC name of an installing node
CPU Threshold (%)	CPU threshold of the installing node. The default value is <b>80</b> . <b>NOTE</b>
	The agent on a node will stop working if the CPU usage of the node exceeds this threshold. You can scale up CPU resources to avoid this problem.
Memory Threshold (%)	Memory threshold of the installing node. The default value is <b>80</b> .
	NOTE  The agent on a node will stop working if the memory usage of the node exceeds this threshold. You can scale up memory resources to avoid this problem.
General	Whether an agent is a general-purpose agent.
Status	Running status of the installing node

#### □ NOTE

You can perform the following operations on an agent you added:

#### • Disable

- Locate the row that contains the agent to be disabled, click **Disable** in the
   Operation column, and click **OK** in the displayed dialog box. The status of the
   agent will change to **Disabled**.
- When an agent is disabled, database audit is disabled for the associated database.

#### Delete

- Locate the row that contains the agent to be deleted, click **Delete** in the Operation column, and click **OK** in the displayed dialog box.
- After an agent is deleted, add another agent again if you want to audit the database.

----End

# 23 Uninstalling an Agent

You can uninstall an agent from the database or application if you do not need to audit the database.

### **Prerequisites**

You have installed an agent on the desired node.

# Uninstalling the Agent from a Linux OS

- **Step 1** Log in to the node where the agent is installed as user **root** using SSH through a cross-platform remote access tool (such as PuTTY).
- **Step 2** Run the following command to access the directory where the decompressed **xxx.tar.gz** agent installation package is stored:
  - **cd** directory containing the decompressed agent installation package
- **Step 3** Run the following command to check whether you have the permission for executing the **uninstall.sh** script:

ll

- If you do, go to Step 4.
- If you do not, perform the following operations:
  - a. Run the following command to get the script execution permission:chmod +x uninstall.sh
  - b. Verify you have the required permissions.
- **Step 4** Run the following command to uninstall the agent:

#### sh uninstall.sh

If the following information is displayed, the agent has been uninstalled successfully:

uninstall audit agent... exist os-release file stopping audit agent audit agent stopped stop audit\_agent success

service audit\_agent does not support chkconfig uninstall audit agent completed!

#### ----End

# Uninstalling the Agent from a Windows OS

- **Step 1** Enter the directory where the agent installation file is stored.
- **Step 2** Double-click the **uninstall.bat** file to uninstall the agent.
- **Step 3** Verify the agent has been uninstalled.
  - 1. Open the Task Manager and verify the dbss\_audit\_agent process is stopped.
  - 2. Verify the entire agent installation directory has been deleted.

----End

# 24 Management an Audit Scope

After adding an audit scope, you can view, enable, edit, disable, or delete the audit scope.

### **Prerequisites**

- You have applied for a database audit instance and the **Status** is **Running**.
- The audit scope has been added.
- Before enabling, editing, or deleting the audit scope, ensure that the status of audit scope is **Disabled**.
- Before disabling the audit scope, ensure that the status of audit scope is **Enabled**.

#### **Precautions**

By default, database audit complies with a **full audit rule**, which is used to audit all databases that are connected to the database audit instance. This audit rule is enabled by default. You can disable it but cannot delete it.

# Viewing the Audit Scope

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree, choose **Rules**.
- **Step 4** In the **Instance** drop-down list, select an instance to view audit scope.
- **Step 5** View the audit scope information. For details about related parameters, see **Table 24-1**.

Figure 24-1 Viewing the audit scope



#### **□** NOTE

Enter the key word of an audit scope to search.

Table 24-1 Parameters

Parameter	Description
Name	Name of the audit scope
Exception IP Address	Whitelisted IP addresses within the audit scope
Source IP Address	IP address or IP address range used for accessing the database
Source Port	Port number of the IP address to be audited
Database Name	Database in the audit scope
Database Account	Database username
Status	Status of the audit scope. The options are as follows:  • Enabled  • Disabled

#### □ NOTE

You can perform the following operations on audit scopes as required:

- Enable
  - Locate the row that contains the audit scope to be enabled, and click **Enable** in the **Operation** column. Databases within the scope will be audited.
- Edit (supported in customized audit scopes only)
   Locate the row that contains the audit scope to be edited, click Edit in the Operation column, and modify the scope in the displayed dialog box.
- Disable
  - Locate the row that contains the audit scope to be disabled, click **Disable** in the **Operation** column, and click **OK** in the displayed dialog box. When the audit scope is disabled, the audit scope rule will not be executed in the audit.
- Delete (supported in customized audit scopes only)
   Locate the row that contains the audit scope to be deleted, click **Delete** in the **Operation** column, and click **OK** in the displayed dialog box. You need to add the audit scope again if it is deleted and you want to audit it.

#### ----End

# 25 Viewing Information About SQL Injection Detection

This section describes how to view SQL injection detection information of a database audit instance.

### **Prerequisites**

- You have applied for a database audit instance and the Status is Running.
- Database audit has been enabled.

#### **Procedure**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- Step 3 In the navigation tree, choose Audit Rules.
- **Step 4** In the **Instance** drop-down list, select the instance for which you want to view SQL injection detection. Click the **SQL Injection** tab.
- **Step 5** View information about SQL injection detection, as shown in **Figure 25-1**. For details about related parameters, see **Table 25-1**.

Figure 25-1 Viewing information about the SQL injection detection



#### □ NOTE

- Select a risk severity from the **All risk severities** drop-down list in the upper right corner of the list, or enter a key word of an SQL injection rule name to search.
- Click **Set Priority** in the **Operation** column of an SQL injection rule to change its priority.

Table 25-1 Parameters

Parameter	Description
Name	Name of the SQL injection detection
Command Feature	Command features of the SQL injection detection
Risk Severity	Risk level of the SQL injection detection. The options are as follows:
	High
	Moderate
	• Low
	No risks
Status	Status of the SQL injection detection. The options are as follows:
	Enabled
	Disabled
Operation	Operations on an SQL injection rule. The options are as follows:
	Set Priority
	Disable
	• Edit
	• Delete

----End

# **26** Managing Risky Operations

After adding a risky operation, you can view the risk, enable, edit, disable, or delete the risky operation, or set its priority.

### **Prerequisites**

- You have applied for a database audit instance and the **Status** is **Running**.
- The risky operation has been added.
- Before enabling the risky operation, ensure that its status is **Disabled**.
- Before disabling the risky operation, ensure that its status is Enabled.

# Sets the Priority of the Risky Operation

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree, choose **Rules**.
- **Step 4** In the **Instance** drop-down list, select an instance to set risky operation priority. Click the **Risky Operations** tab.
- **Step 5** Locate the target risky operation, and click **Set Priority** in the **Operation** column, as shown in **Figure 26-1**.

**Figure 26-1** Setting the priority



**Step 6** In the displayed dialog box, select a priority and click **OK**.

----End

# **Viewing the Risky Operation**

**Step 1** Log in to the management console.

- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree, choose **Rules**.
- **Step 4** In the **Instance** drop-down list, select an instance to view risky operations.
- **Step 5** Click the **Risky Operations** tab.
- **Step 6** View the risky operation information. For details about related parameters, see **Table 26-1**.

Figure 26-2 Viewing risky operations



#### **◯** NOTE

Select a risk severity from the **All risk severities** drop-down list in the upper right corner of the list, or enter a key word of a risky operation name to search.

Table 26-1 Parameters

Parameter	Description
Name	Name of the risky operation
Category	Category of the risky operation
Feature	Feature of the risky operation
Risk Severity	Risk severity of the risky operation. The options are as follows:  • High  • Moderate  • Low  • No risks
Status	Status of the risky operation. The options are as follows:  • Enabled  • Disabled

#### ■ NOTE

You can perform the following operations on risky operations as required:

Fnable

Locate the row that contains the risky operation to be enabled, and click **Enable** in the **Operation** column. The operation will be audited.

Edit

Locate the row that contains the risky operation to be edited, click **Edit** in the **Operation** column, and modify the operation in the displayed dialog box.

Disable

Locate the row that contains the risky operation to be disabled, click **Disable** in the **Operation** column, and click **OK** in the displayed dialog box. When a risky operation is disabled, the risky operation rule will not be executed in the audit.

Delete

Locate the row that contains the risky operation to be deleted, click **Delete** in the **Operation** column, and click **OK** in the displayed dialog box. You need to add the risky operation again if a risky operation is deleted and you need to audit its rule.

----End

# **27** Managing Privacy Data Protection Rules

You can view, enable, edit, disable, or delete data masking rules.

# **Prerequisites**

You have applied for a database audit instance and the **Status** is **Running**.

# **Viewing Privacy Data Protection Rules**

Step 1 Log in to the management console.

Step 2 Select a region, click \_\_\_\_, and choose Security > Database Security Service. The Dashboard page is displayed.

Step 3 In the navigation tree, choose Rules.

Step 4 In the Instance drop-down list, select an instance to view its privacy data protection rule.

Step 5 Click the Privacy Data Protection tab.

Only user-defined rules can be edited and deleted. Default rules can only be enabled and disabled.

Step 6 View the rules. For details about related parameters, see Table 27-1.

NOTE

• Store Result Set

Do not enable this function if you want to prepare for PCI DSS/PCI 3DS CSS certification.

• Mask Privacy Data

You are advised to disable

You are advised to enable . After this function is enabled, you can configure masking rules to prevent privacy data leakage.

. After this function is disabled, database audit will

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not store the result sets of user SQL statements.

Figure 27-1 Masking rule information



**Table 27-1** Masking rule parameters

Parameter	Description
Rule Name	Rule name
Rule Type	Rule type.  • Default  • User-defined
Regular Expression	Regular expression that specifies the sensitive data pattern
Substitutio n Value	Value used to replace sensitive data specified by the regular expression
Status	Status of a rule. Its value can be:  • Enabled  • Disabled

#### □ NOTE

You can perform the following operations on a rule:

Disable

Locate the row that contains the rule to be disabled and click **Disable** in the **Operation** column. A disabled rule cannot be used.

Edit

Locate the row that contains the rule to be modified, click **Edit** in the **Operation** column, and modify the rule in the displayed dialog box.

Delete

Locate the row that contains the rule to be deleted, click **Delete** in the **Operation** column, and click **OK** in the displayed dialog box.

----End

# 28 Managing Audit Reports

By default, database audit complies with a full audit rule, which is used to audit all databases that are successfully connected to the database audit instance. After connecting the database to the database audit instance, view report templates and report results.

### **Prerequisites**

- You have applied for a database audit instance and the **Status** is **Running**.
- Database audit has been enabled.
- Audit reports have been generated.

### Viewing a Report

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Reports**.
- **Step 4** In the **Instance** drop-down list, select the instance whose report information you want to view.
- **Step 5** Viewing reports

Figure 28-1 Viewing a report



#### **Ⅲ** NOTE

- Enter a report name in the upper right corner to search.
- A real-time report is automatically generated in PDF format.
- Locate the row that contains the report to be deleted, click **Delete** in the **Operation** column, and click **OK** in the displayed dialog box. When a report is deleted, you need to manually generate a report if you want to view the report result.

#### ----End

#### **Viewing a Report Template**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Reports**.
- **Step 4** In the **Instance** drop-down list, select the instance whose report template you want to view.
- **Step 5** Click the **Report Management** tab.
- **Step 6** View the report template information, as shown in **Figure 28-2**.

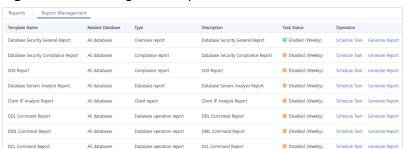


Figure 28-2 Viewing the template list

#### □ NOTE

- Report types include Compliance report, Overview report, Database report, Client report, and Database operation report.
- You can enable or disable scheduled tasks, or set their frequency to daily, weekly, or monthly.
- To modify the scheduled task of a report template, click Schedule Task in the
   Operation column. Modify and save the settings, click Generate Report, and you can
   check the reports.

----End

# **29** Managing Backup Audit Logs

After backing up audit logs, you can view or delete backup audit logs.

#### **Prerequisites**

- You have applied for a database audit instance and the **Status** is **Running**.
- Database audit has been enabled.
- You have backed up audit logs.

#### **Viewing Backup Audit Logs**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Settings**.
- **Step 4** In the **Instance** drop-down list, select the instance whose log template you want to view.
- **Step 5** Click the **Backup and Restoration** tab.
- **Step 6** View the backup audit log information, as shown in **Figure 29-1**. For details about related parameters, see **Table 29-1**.

Figure 29-1 Viewing backup audit logs



Click in the upper right corner of the list and select the start time and end time to view backup logs in a specified time range.

Table 29-1 Parameters of audit logs

Parameter	Description
Log Name	Name of a log, which is automatically generated
Backup Time	Time when a log is backed up
File Size	Log file size
Backup Mode	Log backup mode.
Backup Scope	Backup time window
Task Status	Backup status of a log

#### □ NOTE

Locate the row that contains the log to be deleted, click  $\bf Delete$  in the  $\bf Operation$  column, and click  $\bf OK$  in the displayed dialog box.

#### ----End

# 30 Viewing Operation Logs

This section describes how to view operation logs of a database audit instance.

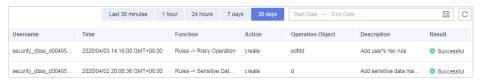
#### **Prerequisites**

You have applied for a database audit instance and the **Status** is **Running**.

#### **Procedure**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Instances**.
- **Step 4** Click the name of the instance whose operation logs you want to view. The **Overview** page is displayed.
- **Step 5** Click the **Logs** tab. The log list page is displayed.
- **Step 6** View operation logs, as shown in **Figure 30-1**. For details about related parameters, see **Table 30-1**.

Figure 30-1 Viewing operation logs



□ NOTE

Select **Last 30 minutes**, **1 hour**, **24 hours**, **7 days**, or **30 days**, or click to set start time and end time to view the operation logs of a specified time range.

Table 30-1 Parameters

Parameter	Description	
Username	User who performs the operation	
Time	Time when the operation was performed	
Function	Function of the operation	
Action	Action of the operation	
Operation Object	Object of the operation	
Description	Description of the operation	
Result	Result of the operation	

----End

# 31 Viewing Tracing Logs

After you enable CTS, the system starts recording operations on DBSS. Operation records for the last seven days can be viewed on the CTS console.

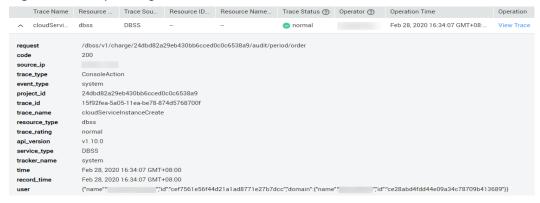
#### Viewing a DBSS Trace on the CTS Console

- **Step 1** Log in to the management console.
- **Step 2** Choose **Trace List** in the navigation pane.
- **Step 3** Click **Region** at the top of the **Trace List** page to set the corresponding conditions.

The following four filters are available:

- Trace Type, Trace Source, Resource Type, and Search By
  - Select the filter from the drop-down list. Set Trace Source to DBSS.
  - When you select **Trace name** for **Search By**, you also need to select a specific trace name.
  - When you select Resource ID for Search By, you also need to select or enter a specific resource ID.
  - When you select **Resource name** for **Search By**, you also need to select or enter a specific resource name.
- **Operator**: Select a specific operator (a user other than tenant).
- Trace Rating: Available options include All trace status, normal, warning, and incident. You can only select one of them.
- In the upper right corner of the page, you can query traces in the last 1 hour, last 1 day, last 1 week, or within a customized period.
- Step 4 Click Query.
- **Step 5** Click ✓ on the left of a trace to expand its details.

Figure 31-1 Expanding trace details



**Step 6** Click **View Trace** in the **Operation** column. On the displayed **View Trace** dialog box shown in **Figure 31-2**, the trace structure details are displayed.

#### Figure 31-2 Viewing a trace

View Trace

Close

----End

# 32 Auditable Operations

Cloud Trace Service (CTS) records all cloud service operations on DBSS, including requests initiated from the management console or open APIs and responses to the requests, for tenants to query, audit, and trace.

Table 32-1 lists DBSS operations recorded by CTS.

Table 32-1 DBSS operations that can be recorded by CTS

Operation	Resource Type	Trace Name
Creating an instance	dbss	createInstance
Deleting an instance	dbss	deleteInstance
Starting an instance	dbss	startInstance
Stopping an instance	dbss	stopInstance
Restarting an instance	dbss	rebootInstance

33 FAQS

## 33.1 Product Consulting

#### 33.1.1 What Is Database Audit?

Database audit is deployed in out-of-path mode. It records user access to the database in real time, generates fine-grained audit reports, sends real-time alarms for risky operations and attack behaviors. In addition, database audit generates compliance reports that meet data security standards (such as Sarbanes-Oxley) to locate internal violations and improper operations, thus ensuring data asset security.

# 33.1.2 What Are the Differences Between DBSS Database Audit and RDS SQL Audit?

The following table describes the differences between DBSS database audit and RDS SQL audit regarding their functions and applicable scope.

Table 33-1 Audit service differences

Audit	Function	Scenario
RDS SQL audit	Only SQL access operations are recorded.	Edit only RDS databases.
DBSS audit	All the unsafe operations on the database are audited. DBSS records SQL access, performs security scans and statistical analysis, identifies risks, and generates reports to help you enhance the security of databases on the cloud.	Edit all the database scenarios, including user-built databases.

#### 33.1.3 What Editions Does DBSS Provide?

Database audit provides basic, professional, and advanced editions for you to choose from.

Table 33-2 describes the database audit editions.

**Table 33-2** Database audit editions

Versio n	Maximum Databases	System Resource	Performance
Basic	3	<ul> <li>CPU: 4 vCPUs</li> <li>Memory: 16 GB</li> <li>Disk: 500 GB</li> </ul>	<ul> <li>Peak QPS: 3,000 queries/second</li> <li>Database load rate: 3.6 million statements/hour</li> <li>Stores 400 million online SQL statements.</li> <li>Stores 5 billion archived SQL statements.</li> </ul>
Profess ional	6	<ul> <li>CPU: 8 vCPUs</li> <li>Memory: 32 GB</li> <li>Disk: 1 TB</li> </ul>	<ul> <li>Peak QPS: 6,000 queries/second</li> <li>Database load rate: 7.2 million statements/hour</li> <li>Stores 600 million online SQL statements.</li> <li>Stores 10 billion archived SQL statements.</li> </ul>

#### 33.1.4 What Databases Does DBSS Protect?

DBSS protects databases built on Elastic Cloud Server (ECS) and Bare Metal Server (BMS), and RDS instances within the same VPC and its subnets. Due to network restrictions, DBSS cannot protect databases built and RDS instances on ECSs and BMSs if they are not in the same VPC and its subnets.

### 33.1.5 What Databases Does DBSS Support?

DBSS supports the following databases on the management console:

- Relational Database Service (RDS)
- Databases built on ECS
- Databases built on BMS

# 33.1.6 Why Can't I See the Instance that Is Being Created After I Applied for It?

When you apply for a set of DBSS instances, a system disk will be created on the virtual machine (VM) where the instances reside. In addition, the network will be configured. The creation and configuration may take some time. Therefore, the instances are not immediately displayed.

#### 33.2 Functions

# 33.2.1 Does Database Audit (in Bypass Mode) Affect My Services?

No. Your databases are audited in out-of-path mode. Database audit neither affects your services nor conflicts with local audit tools.

#### 33.2.2 What Are the Functions of Database Audit?

Database audit is deployed in out-of-path pattern. You can perform flexible audit on databases built on ECS, BMS, and RDS without affecting services. Database audit provides the following functions:

- Monitors database login, operation type (data definition, operation, and control), and operation object based on risky operations to effectively audit the database.
- Analyzes risks, sessions, and SQL injection to help you learn the database situation in a timely manner.
- Provides a report template library to generate daily, weekly, or monthly audit reports according to your configurations. Sends real-time alarm notifications to help you obtain audit reports in a timely manner.

### 33.2.3 Supported Database Types

Database audit supports the following database types and versions.

**Table 33-3** Database types and versions supported by database audit

Database Type	Edition
MySQL	<ul> <li>5.0, 5.1, 5.5, 5.6, 5.7</li> <li>8.0 (8.0.11 and earlier)</li> <li>8.0.23</li> </ul>
Oracle	<ul> <li>11g 11.1.0.6.0, 11.2.0.1.0, 11.2.0.2.0, 11.2.0.3.0, and 11.2.0.4.0</li> <li>12c 12.1.0.2.0, 12.2.0.1.0</li> <li>19c</li> </ul>

Database Type	Edition
PostgreSQL	<ul> <li>7.4</li> <li>8.0     8.0, 8.1, 8.2, 8.3, 8.4</li> <li>9.0     9.0, 9.1, 9.2, 9.3, 9.4, 9.5, 9.6</li> <li>10.0     10.0, 10.1, 10.2, 10.3, 10.4, 10.5</li> <li>11.0</li> <li>12.0</li> <li>13.0</li> </ul>
SQL Server	<ul> <li>2008, 2008R2</li> <li>2012</li> <li>2014</li> <li>2016</li> <li>2017</li> </ul>
DWS	• 1.5
SHENTONG	V7.0
GBase 8a	V8.5
GBase 8s	V8.8
Gbase XDM Cluster	V8.0
TAURUS	MySQL 8.0

## 33.2.4 What OSs Can I Install the Database Audit Agent On?

To use database audit, you need to install its agent on the required database, application, or proxy side, and then connect to the database audit instance.

The database audit agent can run on 64-bit Linux or 64-bit Windows. The following table describes the supported OSs.

• For more information, see Table 33-4.

**Table 33-4** Supported Linux OS versions

System Name	System version
CentOS	• CentOS 7.0 (64bit)
	• CentOS 7.1 (64bit)
	• CentOS 7.2 (64bit)
	• CentOS 7.3 (64bit)
	• CentOS 7.4 (64bit)
	• CentOS 7.5 (64bit)
	• CentOS 7.6 (64bit)
	• CentOS 7.8 (64bit)
	• CentOS 8.0 (64bit)
Debian	• Debian 7.5.0 (64bit)
	• Debian 8.2.0 (64bit)
	• Debian 8.8.0 (64bit)
	• Debian 9.0.0 (64bit)
Fedora	Fedora 24 (64bit)
	• Fedora 25 (64bit)
SUSE	• SUSE 11 SP4 (64bit)
	• SUSE 12 SP1 (64bit)
	• SUSE 12 SP2 (64bit)
Ubuntu	Ubuntu 14.04 (64bit)
	• Ubuntu 16.04 (64bit)
	• Ubuntu 18.04 (64bit)
	• Ubuntu 20.04 (64-bit)
EulerOS	• Euler 2.2 (64bit)
	• Euler 2.3 (64bit)
Oracle Linux	Oracle Linux 6.9 (64bit)
	Oracle Linux 7.4 (64bit)

- The following Windows OSs are supported:
  - Windows Server 2008 R2 (64bit)
  - Windows Server 2012 R2 (64bit)
  - Windows Server 2016 (64bit)
  - Windows 7 (64bit)
  - Windows 10 (64bit)

#### 

The DBSS agent depends on Npcap. If the message "Npcap not found, please install Npcap first" is displayed when you install the DBSS agent, first install Npcap and then the DBSS agent.

Npcap download link: https://npcap.com/#download

Figure 33-1 Npcap not found

### 33.2.5 Does Database Audit Support Bidirectional Audit?

Yes. In bidirectional audit, both requests and responses to the database are audited.

Bidirectional audit is used for database audit by default.

#### 33.2.6 Can I Audit Databases Across Different VPCs?

Yes. To audit databases in different VPCs, ensure the VPCs can communicate with each other. You can create peering connections between the VPCs. For details, see .

### 33.2.7 Can Applications Using TLS Connections Be Audited?

No. Applications using TLS are encrypted.

# 33.2.8 How Long Is the Database Audit Data Stored by Default?

Database audit can store online and archived audit data for at least 180 days.

On the **Dashboard** of database audit, you can select the database and audit period to view audit data.

However, the storage duration also depends on the disk capacity of the log database. To store your audit data long enough, you are advised to:

- Choose a database audit edition suitable for your business.
  - To audit a small volume of data, apply for the basic edition.
  - To audit a large volume of data, apply for the professional or advanced edition.

For more information, see Table 33-5.

Back up audit logs.

Table 33-5 Database audit editions

Versio n	Maximum Databases	System Resource	Performance
Basic	3	<ul> <li>CPU: 4 vCPUs</li> <li>Memory: 16 GB</li> <li>Disk: 500 GB</li> </ul>	<ul> <li>Peak QPS: 3,000 queries/second</li> <li>Database load rate: 3.6 million statements/hour</li> <li>Stores 400 million online SQL statements.</li> <li>Stores 5 billion archived SQL statements.</li> </ul>
Profess ional	6	<ul> <li>CPU: 8 vCPUs</li> <li>Memory: 32 GB</li> <li>Disk: 1 TB</li> </ul>	<ul> <li>Peak QPS: 6,000 queries/second</li> <li>Database load rate: 7.2 million statements/hour</li> <li>Stores 600 million online SQL statements.</li> <li>Stores 10 billion archived SQL statements.</li> </ul>

#### **MOTE**

instances.

- A database instance is uniquely defined by its database IP address and port.
   The number of database instances equals the number of database ports. If a database IP address has N database ports, there are N database instances.
   Example: A user has two database IP addresses, IP<sub>1</sub> and IP<sub>2</sub>. IP<sub>1</sub> has a database port. IP<sub>2</sub> has three database ports. IP<sub>1</sub> and IP<sub>2</sub> have four database instances in total. To audit all of them, select professional edition DBSS, which supports a maximum of six database
- To change the edition of a DBSS instance, unsubscribe from it and apply for a new one.
- Online SQL statements are counted based on the assumption that the capacity of an SQL statement is 1 KB.

# 33.2.9 How Soon Can I Receive an Alarm Notification If an Exception Occurs in Database Audit?

When database audit is running properly, if an exception occurs, you will receive an alarm notification within 5 minutes.

If you set alarm notifications, when database audit is running properly, the system generates an alarm notification when a metric of a database audit instance resource (CPU, memory, or disk) exceeds the alarm threshold. You can receive the notification within about 5 minutes.

# 33.2.10 Is the Total Number Of Alarms Every Day the Same as that of Emails?

Yes. One alarm message corresponds to one email notification.

# 33.2.11 Why I Cannot Preview the Database Security Audit Report Online?

To preview a report online, use Google Chrome or Mozilla FireFox.

# 33.2.12 If I Use Middleware at the Service Side, Will It Affect Database Audit?

No.

Middleware is a type of software deployed between applications and software including OSs, networks, and databases. Middleware provides an environment for application operation and development, helping users flexibly and efficiently develop and integrate complex application software.

Database audit is deployed in out-of-path mode. The database audit agent (installed on database or application nodes) obtains database access traffic, uploads the traffic to the audit system, receives commands issued by the audit system, and reports database status.

Using middleware on the service side does not affect the agent during SQL listening or auditing.

If database audit cannot obtain any data, troubleshoot the problem by referring to

# 33.2.13 What Should I Do If an Alarm of Insufficient DBSS Capacity Is Displayed?

The disk capacity of an existing DBSS instance cannot be expanded. If you find your disk space insufficient to store logs for at least 180 days, as required by compliance standards, you need to back up the logs.

This alarm indicates that the disk usage of your instances is high. You are advised to back up data every hour. If you have enabled backup, ignore this alarm.

## 33.3 Agent

### 33.3.1 Which Functions Do the Database Audit Agent Provide?

To use database audit, you need to install its agent on database nodes or application nodes.

The database audit agent delivers the following functions:

Obtain database access traffic

- Upload traffic data to the audit system
- Receive configuration commands from the audit system
- Report database status monitoring data

### 33.3.2 On What Windows Versions Can I Install the Agent?

To use database audit, you need to install its agent on database nodes or application nodes.

The agent can be installed on the following Windows versions:

- Windows Server 2008 R2 (64bit)
- Windows Server 2012 R2 (64bit)
- Windows Server 2016 (64bit)
- Windows 7 (64bit)
- Windows 10 (64bit)

#### **Ⅲ** NOTE

The DBSS agent depends on Npcap. If the message "Npcap not found, please install Npcap first" is displayed when you install the DBSS agent, first install Npcap and then the DBSS agent.

Npcap download link: https://npcap.com/#download

Figure 33-2 Npcap not found

### 33.3.3 On What Linux OSs Can I Install the Agent?

To use database audit, you need to install its agent on database nodes or application nodes.

The database audit agent can be installed on a 64-bit Linux OS. **Table 33-6** provides more details.

**Table 33-6** Supported Linux OS versions

System Name	System version
CentOS	• CentOS 7.0 (64bit)
	• CentOS 7.1 (64bit)
	• CentOS 7.2 (64bit)
	• CentOS 7.3 (64bit)
	• CentOS 7.4 (64bit)
	• CentOS 7.5 (64bit)
	• CentOS 7.6 (64bit)
	• CentOS 7.8 (64bit)
	• CentOS 8.0 (64bit)
Debian	• Debian 7.5.0 (64bit)
	• Debian 8.2.0 (64bit)
	• Debian 8.8.0 (64bit)
	• Debian 9.0.0 (64bit)
Fedora	• Fedora 24 (64bit)
	• Fedora 25 (64bit)
SUSE	• SUSE 11 SP4 (64bit)
	• SUSE 12 SP1 (64bit)
	• SUSE 12 SP2 (64bit)
Ubuntu	• Ubuntu 14.04 (64bit)
	• Ubuntu 16.04 (64bit)
	• Ubuntu 18.04 (64bit)
	• Ubuntu 20.04 (64-bit)
EulerOS	• Euler 2.2 (64bit)
	• Euler 2.3 (64bit)
Oracle Linux	Oracle Linux 6.9 (64bit)
	Oracle Linux 7.4 (64bit)

# 33.3.4 What Is the Process Name of the Database Audit Agent?

#### **Linux OS**

The process name of the agent is /opt/dbss\_audit\_agent/bin/audit\_agent

After installing the agent, you can perform the following steps to view its operating status:

- **Step 1** Log in to the node where the agent is installed as user **root** by using a cross-platform remote access tool (for example, PuTTY) via SSH.
- **Step 2** Run the following command to view the operating status of the agent:

#### ps -ef|grep audit\_agent

- If the following information is displayed, the agent is running properly: /opt/dbss audit agent/bin/audit agent
- If no information is displayed, the agent does not run properly.

#### ----End

#### Windows OS

After the agent is installed, you can find the agent process dbss\_audit\_agent process in the Windows Task Manager.

# 33.3.5 (Linux OS) What Should I Do If I Lack the Permission to Run the Agent Installation Script?

Run the following command on the node where the agent will be installed to add the execute permission on the installation script:

chmod +x install.sh

# 33.3.6 (Linux OS) Where Are the Logs of the Database Audit Agent Saved?

The path for saving agent logs is /opt/dbss\_audit\_agent/log/audit\_agent.log.

### 33.3.7 When Should I Select an Existing Agent?

Do this if an application is connected to multiple databases, as shown in **Figure 33-3**, and an agent has been installed on the application (by setting **Installing Node Type** to **Application**) for one of the databases (for example, **DB1**). To add an agent for another of them, select **Selecting an existing agent** for **Add Mode**, and select the agent added for **DB1**, as shown in **Figure 33-4**.

After the agent is added, the database can be audited.

Application

Agent

DB1

User-installed database on ECS/BMS

DB2

RDS database
...

User-installed database

DBn

or RDS database

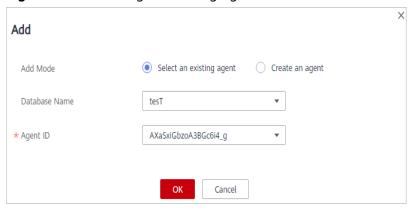
Figure 33-3 An application connected to multiple databases

#### □ NOTE

Possible combinations of connected databases are:

- User-installed databases on ECS/BMS
- RDS databases
- User-installed databases on ECS/BMS and RDS databases

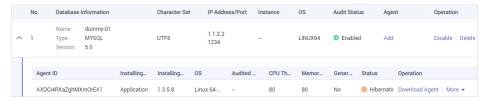
Figure 33-4 Selecting an existing agent



# 33.3.8 What Do I Do If the Database Audit Agent Is Hibernating?

After an agent is added for a database to be audited, the initial status of the agent will be **Hibernating**, as shown in **Figure 33-5**.

Figure 33-5 Successfully adding an agent



To use database audit, you need to install the agent.

Check the agent status after you installed it.

• If the agent status changes to **Running** after the installation, as shown in **Figure 33-6**, it indicates that the agent is running properly.

Figure 33-6 Agent running properly



 If the agent status is still Hibernating after the installation, troubleshoot the problem by following the instructions provided in What Do I Do If the Communication Between the Agent and Database Audit Instance Is Abnormal?

### 33.3.9 How Do I Determine Where to Install an Agent?

The database audit agent can be installed on the database, application, or proxy node (ranked in descending order of preference).

For details about the nodes, see Table 33-7.

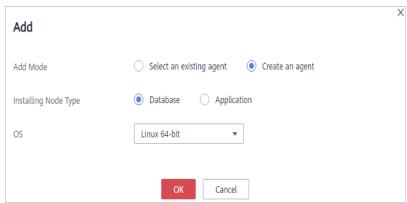
Table 33-7 Nodes to install agents

Node	Scenario	Audit Scope	Configuration
Database	Self-built database on ECS/BMS	All access records of applications that have accessed the database	Set Installing Node Type to Database.
Applicatio n	You cannot log in to the node where your database (for example, RDS database) is deployed.	Access records of all the databases connected to the application	<ul> <li>Set Installing Node Type to Application, as shown in Figure 33-8.</li> <li>If an agent has been installed on a database connected to the same application as the desired database, select Select an existing agent.</li> </ul>
Proxy	You cannot log in to the node where your database (for example, RDS database) is deployed, and cannot install an agent on your application (for example, an off-cloud application).	Only the access records between the proxy and database. Those between the application and database cannot be audited.	Set Installing Node Type to Application, and set Installing Node IP Address to the IP address of the proxy.

#### **Adding an Agent**

Database

Figure 33-7 Adding an agent to a database



Application

Figure 33-8 Adding an agent to an application

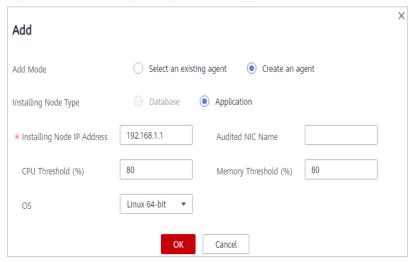
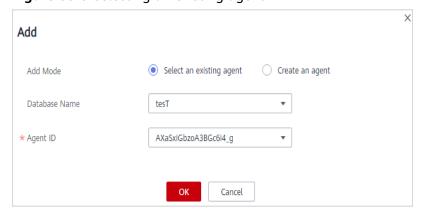


Figure 33-9 Selecting an existing agent

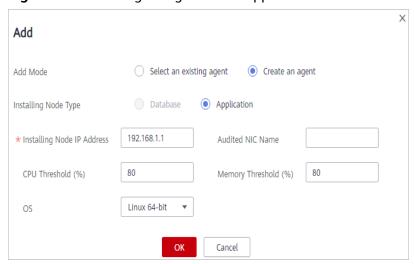


#### **NOTICE**

If an agent has been installed on a database connected to the same application as the desired database, select **Select an existing agent**. For details, see **When Should I Select an Existing Agent?** 

Proxy

Figure 33-10 Adding an agent to an application



**NOTICE** 

**Installing Node IP Address** must be set to the IP address of the proxy.

### 33.3.10 How Do I Download a Database Audit Agent?

Download and then install the agent on the database or application, as required by the add mode you chose.

□ NOTE

Each agent has a unique ID, which is used as the key for connecting to a database audit instance. If you delete an agent and add it back, you need to download the agent again.

#### **Prerequisites**

- You have applied for a database audit instance and the Status is Running.
- You have added an agent to the database.

#### **Procedure**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.

- **Step 3** In the navigation tree on the left, choose **Databases**.
- **Step 4** In the **Instance** drop-down list, select the instance whose agent is to be downloaded.
- **Step 5** Click in the lower part of the database list to expand the agent details. Locate the target agent and click **Download Agent** in the **Operation** column. to download an agent installation package.

Figure 33-11 Downloading an agent



Download the agent installation package suitable for your OS.

- Linux OS
   Download the agent whose OS is LINUX64.
- Windows OS
   Download the agent whose OS is WINDOWS64.

----End

### 33.3.11 How Do I Uninstall a Database Audit Agent?

You can uninstall an agent from the database or application if you do not need to audit the database.

#### **Prerequisites**

You have installed an agent on the desired node.

#### Uninstalling the Agent from a Linux OS

- **Step 1** Log in to the node where the agent is installed as user **root** using SSH through a cross-platform remote access tool (such as PuTTY).
- **Step 2** Run the following command to access the directory where the decompressed **xxx.tar.gz** agent installation package is stored:
  - cd directory containing the decompressed agent installation package
- **Step 3** Run the following command to check whether you have the permission for executing the **uninstall.sh** script:

#### ll

- If you do, go to Step 4.
- If you do not, perform the following operations:
  - a. Run the following command to get the script execution permission:
     chmod +x uninstall.sh
  - b. Verify you have the required permissions.

#### **Step 4** Run the following command to uninstall the agent:

#### sh uninstall.sh

If the following information is displayed, the agent has been uninstalled successfully:

uninstall audit agent...
exist os-release file
stopping audit agent
audit agent stopped
stop audit\_agent success
service audit\_agent does not support chkconfig
uninstall audit agent completed!

----End

#### Uninstalling the Agent from a Windows OS

- **Step 1** Enter the directory where the agent installation file is stored.
- **Step 2** Double-click the **uninstall.bat** file to uninstall the agent.
- **Step 3** Verify the agent has been uninstalled.
  - 1. Open the Task Manager and verify the dbss\_audit\_agent process is stopped.
  - 2. Verify the entire agent installation directory has been deleted.

----End

### 33.3.12 How Do I Install the Agent (in Windows OS)?

To install the agent on a Windows OS, perform the following operations.

#### **Prerequisites**

- You have added an agent to your database.
- You have obtained the agent installation package for the Windows OS.
- The Windows OS version of the target node is supported by the agent.

#### **Installing an Agent**

**Step 1** Install Npcap on the Windows server.

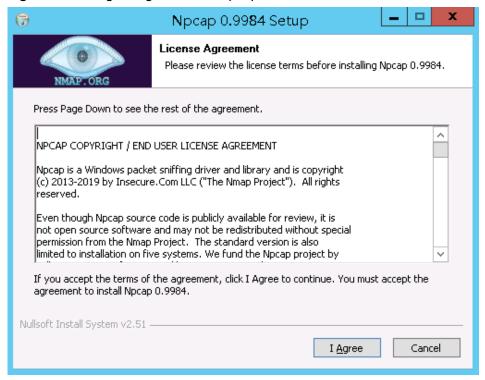
- If Npcap has been installed on the Windows OS, go to Step 2.
- If the Npcap has not been installed on the Windows server, perform the following steps:
  - a. Download the latest Npcap software installation package from <a href="https://nmap.org/npcap/">https://nmap.org/npcap/</a>.

Figure 33-12 Downloading Npcap



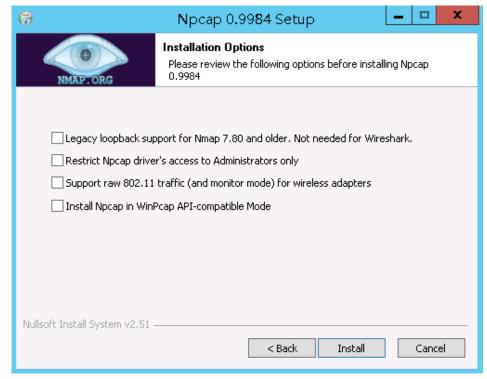
- b. Upload the **npcap-**xxxx.**exe** software installation package to the VM where the agent is to be installed.
- c. Double-click the Npcap installation package.
- d. In the displayed dialog box, click I Agree, as shown in Figure 33-13.

Figure 33-13 Agreeing to install Npcap

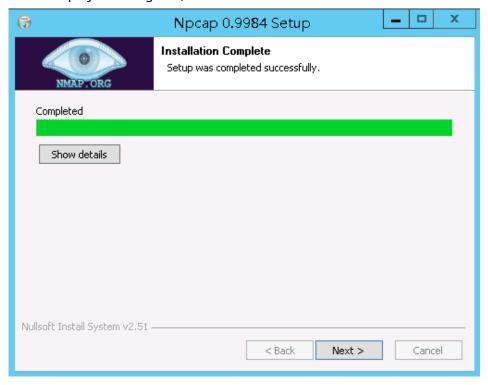


e. In the displayed dialog box, leave all the check boxes unselected and click **Install**, as shown in **Figure 33-14**.

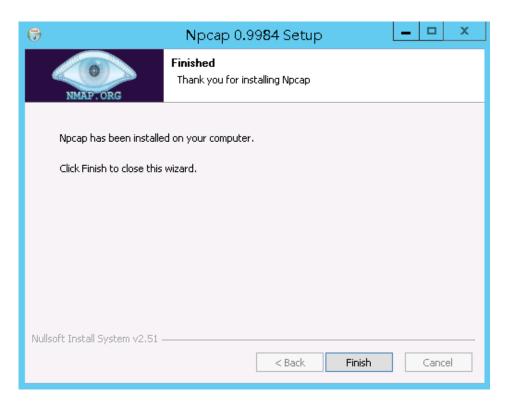
Figure 33-14 Installing Npcap



f. In the displayed dialog box, click Next.



g. Click Finish.



- **Step 2** Log in to the target Windows server as the **Administrator** user.
- **Step 3** Copy the downloaded .zip agent installation package to any directory on the server.
- **Step 4** Decompress the package.
- **Step 5** Double-click the **install.bat** file in the package directory.
- **Step 6** Press any key to complete installation after the output shown in **Figure 33-15** is displayed.

Figure 33-15 Installation completed

```
<del>(xxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxxx</del>
   DBSS Servcie Audit Agent Install
install DBSS audit agent start...
check npcap existed success
check main process file success
check child process file success
check dll file success
heck dll file success
check startup file success
             1
             1
             1
check dbss agent config file success
check log folder success
install DBSS audit agent success
start DBSS audit agent success
```

**Step 7** Check the installation result. If the dbss\_audit\_agent process can be found in the Windows Task Manager, the installation succeeded.

If it is not found, install the agent again.

----End

# 33.3.13 What Do I Do If the Communication Between the Agent and Database Audit Instance Is Abnormal?

#### Symptom

An agent has been installed on the database or application, but the SQL statement is not displayed in the SQL statement list after you enter an SQL statement in the database.

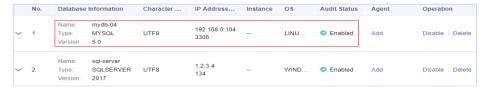
Perform the following operations to troubleshoot the problem:

- Checking the Audited Database
- Checking the Security Group Rules of the Database Audit Instance
- Check the running status of the agent on the installing node.

#### **Checking the Audited Database**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Databases**.
- **Step 4** In the **Instance** drop-down list, select the instance whose database is to be checked.
- **Step 5** Check the information about the database to be audited, as shown in **Figure 33-16**.

Figure 33-16 Viewing the information about the database to be audited



- If the database information is correct, go to **Step 6**.
- If the database information is incorrect, click **Delete** to delete the database, and then click **Add Database** to add the database again.
  - If the fault is rectified, no further operation is required.
  - If the problem persists, go to **Step 6**.
- **Step 6** Check the audit status of the database to be audited, as shown in Figure 33-17.

Figure 33-17 Checking the database audit status



- If Audit Status is Enabled, go to Checking the Security Group Rules of the Database Audit Instance.
- If **Audit Status** is **Disabled**, click **Enable** to enable the database audit function.
  - If the fault is rectified, no further operation is required.
  - If the problem persists, go to Checking the Security Group Rules of the Database Audit Instance.

----End

#### Checking the Security Group Rules of the Database Audit Instance

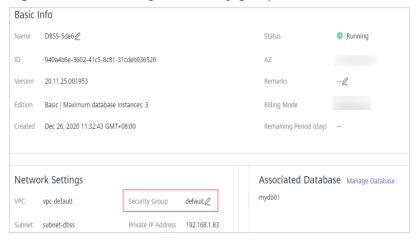
**Step 1** Click ✓ next to the database to expand the details about the agent and record the value of **Installing Node IP Address**, as shown in **Figure 33-18**.

Figure 33-18 Recording the IP address of the installing node



- **Step 2** In the navigation tree on the left, choose **Database Audit** > **Instances**.
- **Step 3** Click the required instance. The **Overview** page is displayed.
- **Step 4** In the **Network Settings** area, record the value of **Security Group** (for example, **default**) of the database audit instance, as shown in **Figure 33-19**.

Figure 33-19 Checking the security group of the database audit instance



- Step 5 Click in the upper left corner of the management console and choose Network > Virtual Private Cloud.
- **Step 6** In the navigation tree on the left, choose **Access Control** > **Security Groups**. The security group list page is displayed.
- **Step 7** Enter the security group **default** recorded in **Step 4** in the search box in the upper right corner of the list, and click Q or press **Enter**. Its information is displayed in the list.
- Step 8 Click the name of the security group default. Click the Inbound Rules tab.
- **Step 9** Check inbound rules of the security group **default**.

Check whether TCP (port number **8000**) and UDP protocols (port number from **7000** to **7100**) are configured in the inbound rules of the security group for the IP address of the installing node in **Step 1**.

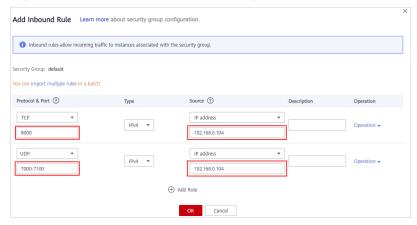
- If inbound rules have been configured for the security group, go to **Check the running status of the agent on the installing node**..
- If no inbound rule is configured for the security group, go to **Step 10**.
- **Step 10** Add inbound rules for the security group of the database audit instance.
  - 1. Click **Add Rule**, as shown in **Figure 33-20**.

Figure 33-20 Adding rules



In the Add Inbound Rule dialog box, add TCP (port number 8000) and UDP protocols (port number from 7000 to 7100) for the installing node IP address in Step 1. See Figure 33-21.

Figure 33-21 Add Inbound Rule dialog box



- 3. Click OK.
  - If the fault is rectified, no further operation is required.

- If the problem persists, go to Check the running status of the agent on the installing node..

#### ----End

#### Check the running status of the agent on the installing node.

- Linux OS
  - a. Log in to the node where the agent is installed as user **root** using SSH through a cross-platform remote access tool (such as PuTTY).
  - Run the following command to view the running status of the agent:
     service audit agent status
    - If the following information is displayed, the agent is running properly. Go to Verifying the Result. audit agent is running.
    - If no information is displayed, the agent is running abnormally. Run the following command to restart the agent:

#### service audit agent restart

- Windows OS
  - a. Open the Task Manager.
  - b. Query the status of the dbss\_audit\_agent process.
    - If the process is running, go to Verifying the Result.
    - If the process is stopped, go to the directory where the agent installation file is stored, and double-click the **start.bat** file to start the audit process.

#### Verifying the Result

In your database, run an SQL statement on the node where the agent is installed. Choose **Overview** > **Statements** and then search for the executed statement.

- If the SQL statement is found, the problem has been solved.
- If the SQL statement is not found, the problem persists. Contact customer service.

# 33.3.14 How Many Resources Are Consumed by an Agent When It Runs on a Node?

When an agent is running, it consumes no more than 5% CPU and no more than 300 MB memory. The following resource metrics are monitored to prevent the agent from consuming too many resources:

- Overall CPU and memory usage of the system. If the CPU or memory usage exceeds the specified threshold (80% by default), the agent will stop running.
- CPU and memory of the agent process

## 33.4 Operations

#### 33.4.1 How Do I Disable SSL for a Database?

If SSL is enabled for a database, the database cannot be audited. To use database audit, disable SSL first.

The MySQL database client is used as an example. Perform the following steps:

- **Step 1** Log in to the MySQL database client as user **root**.
- **Step 2** Run the following command to check the connection mode of the MySQL database:

\s

• If information similar to the following is displayed, SSL has been disabled for the MySQL database.

SSL: Not in use

• If information similar to the following is displayed, SSL has been enabled for the MySQL database. Go to **Step 3**.

SSL: Cipher in use is XXX-XXX-XXXXXXXXXXXX

- **Step 3** Log in to the MySQL database in SSL mode.
  - 1. Run the following command to exit from the MySQL database:

exit

2. Log in to the MySQL database as user root.

Add the following parameters at the end of the login command:

--ssl-mode=DISABLED

Or

--ssl=0

#### **NOTICE**

If you logged in to the MySQL database in SSL mode, you can disable SSL only for this login. To use the database audit function, log in to the MySQL database as instructed in this step.

3. Run the following command to check the connection mode of the MySQL database:

\s

If information similar to the following is displayed, SSL has been disabled for the MySQL database.

SSL: Not in use

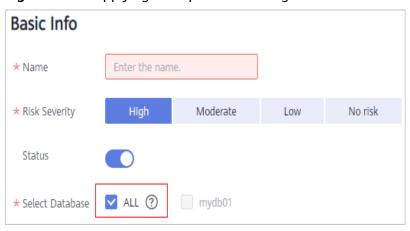
----End

#### 33.4.2 How Do I Set Database Audit Rules for All Databases?

By default, database audit complies with a **full audit rule**, which is used to audit all databases that are connected to the database audit instance. This audit rule is enabled by default. You can disable it but cannot delete it.

You can also apply risky operation settings to all databases connected to a database audit instance, as shown in **Figure 33-22**.

Figure 33-22 Applying risk operation settings to all connected databases

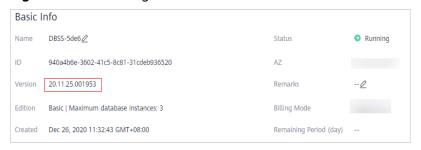


#### 33.4.3 How Do I Check the Version of Database Audit?

To check the version of database audit, perform the following steps:

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Instances**.
- **Step 4** Click the name of the instance whose information you want to view. The **Overview** page is displayed.
- **Step 5** View the instance version, as shown in Figure 33-23.

Figure 33-23 Viewing the instance version



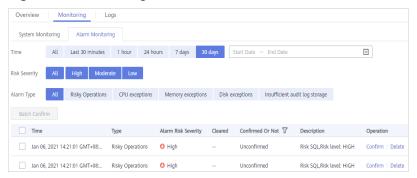
----End

#### 33.4.4 How Do I View All Alarms in Database Audit?

To check the alarms of database audit, perform the following steps:

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Instances**.
- **Step 4** Click the name of an instance, click the **Monitoring** tab, and then the **Alarm Monitoring** tab.
- **Step 5** View the alarm information, as shown in Figure 33-24.





To query specified alarms, perform the following steps:

- Select Last 30 minutes, 1 hour, 24 hours, 7 days, or 30 days for Time, and click to view alarms of the specified time range.
- Select **All**, **High**, **Moderate**, or **Low** for **Risk Severity**. Alarms of specified severity are displayed in the list.
- Select an alarm type, and alarms of specified alarm type is displayed in the list.

----End

# 33.4.5 How Do I Audit an RDS Database Accessed through Intranet (by Applications Off the Cloud)?

If your PC accesses RDS through a private line, you can install the agent on a proxy your set up. Access from the proxy to the database can be audited. Access from applications to the proxy cannot be audited.

## 33.5 Troubleshooting

# 33.5.1 Database Audit Is Running Properly But Generates No Audit Records

#### **Symptom**

The functions of the database audit instance are normal. When there is database traffic, audit information about the executed SQL statement cannot be found in the SQL statement list.

#### **Possible Causes**

- SSL is enabled for the database.
- ForceEncryption is enabled for the SQL Server database protocol.
- The data volume is too large. As a result, the Agent process is suspended. You are advised to restart the container or optimize audit rules to reduce the data volume.

#### ∩ NOTE

- If SSL is enabled for a database, the database cannot be audited.
- If ForceEncryption is enabled for a database, database audit cannot obtain file content from the database for analysis.

#### **Disabling Database SSL**

The MySQL database client is used as an example. Perform the following steps:

- **Step 1** Log in to the MySQL database client as user **root**.
- **Step 2** Run the following command to check the connection mode of the MySQL database:

\s

 If information similar to the following is displayed, SSL has been disabled for the MySQL database. Go to Step 4.
 SSL: Not in use

 If information similar to the following is displayed, SSL has been enabled for the MySQL database. Go to Step 3.
 SSL: Cipher in use is XXX-XXX-XXXX

**Step 3** Log in to the MySQL database in SSL mode.

Run the following command to exit from the MySQL database:

exit

Log in to the MySQL database as user root.

Add the following parameters at the end of the login command:

--ssl-mode=DISABLED

or

--ssl=0

#### **NOTICE**

If you log in to the MySQL database in SSL mode, you can only disable SSL for this login. To use the database audit function, log in to the MySQL database in the mode described in **Step 3.2**.

3. Run the following command to check the connection mode of the MySQL database:

\s

If information similar to the following is displayed, SSL has been disabled for the MySQL database. Go to **Step 4**.

SSL:

Not in use

**Step 4** Run an SQL statement and search for it in the SQL statement list.

- If the SQL statement is found, the problem has been solved.
- If the SQL statement is not found, the problem persists. In this case, Disable ForceEncryption for the SQL Server protocol.

----End

#### Disabling ForceEncryption for the SQL Server Protocol

- Step 1 Open the SQL Server Configuration Manager dialog box.
- **Step 2** Select **SQL Server Network Configuration**.
- **Step 3** Right-click **Protocols for MSSQLSERVER** and choose **Properties**.
- **Step 4** Click the **Flags** tab. Set **ForceEncryption** to **No**.
- **Step 5** Restart the SQL Server service for the modification to take effect.
- Step 6 Run an SQL statement and search for it in the SQL statement list.
  - If the SQL statement is found, the problem has been solved.
  - If the SQL statement is not found, the problem persists. Contact customer service.

----End

### 33.5.2 Database Audit Is Unavailable

#### Symptom

After the database traffic is triggered, you cannot find the audit information about an executed statement in the SQL statement list.

In this case, perform the following operations to troubleshoot the problem:

- Checking Database Information and Audit Function Settings
- Checking Audited Database Settings

- Checking Database Agent Status
- Checking the Security Group Rules of the Database Audit Instance

#### **Checking Database Information and Audit Function Settings**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Databases**.
- **Step 4** Select an instance where the database is located from the **Instance** drop-down list.
- **Step 5** View the database information, as shown in **Figure 33-25**.

Figure 33-25 Viewing the information about the database to be audited



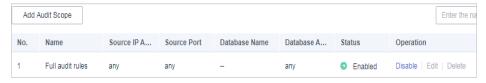
- **Step 6** Check whether the database information is correct.
  - If the database information is correct, go to Step 7.
  - If the database information is incorrect, click **Delete** to delete the database, and then click **Add Database** to add the database again.
    - If the fault is rectified, no further operation is required.
    - If the problem persists, go to **Step 7**.
- **Step 7** Check whether the database audit function is enabled.
  - If Audit Status is Enabled, go to Checking Audited Database Settings.
  - If **Audit Status** is **Disabled**, click **Enable** to enable the database audit function.
    - If the fault is rectified, no further operation is required.
    - If the problem persists, go to Checking Audited Database Settings.

#### ----End

### **Checking Audited Database Settings**

In the navigation tree on the left, choose **Database Audit** > **Rules**. The **Audit Scope** page is displayed. See **Figure 33-26**.

Figure 33-26 Audit scope



- If Status is Enabled, go to Checking Database Agent Status.
- If Status is Disabled, click Enable to enable the desired audit scope rule of the database.
  - If the fault is rectified, no further operation is required.
  - If the problem persists, go to Checking Database Agent Status.

#### **Checking Database Agent Status**

- **Step 1** Log in to the node where the agent is installed as user **root** by using a cross-platform remote access tool (for example, PuTTY) via SSH.
- **Step 2** Run the following command to view the running status of the agent program:

#### ps -ef|grep audit\_agent

- If the following information is displayed, the agent is running properly. Go to **Step 4**.
  - /opt/dbss\_audit\_agent/bin/audit\_agent
- If no information is displayed, the agent does not run properly. Go to Step 3.
- **Step 3** Run the following command to restart the agent:

#### service audit\_agent restart

- If the fault is rectified, no further operation is required.
- If the problem persists, go to **Step 4**.
- **Step 4** Run the following command to check the communication status between the agent and database audit instance:

#### tailf /opt/dbss\_audit\_agent/log/audit\_agent.log

 If information similar to the following is displayed, the communication between the agent and database audit instance is normal. Go to Verifying the Result.

#### Figure 33-27 Normal communication

 If information similar to the following is displayed, the communication between the agent and database audit instance is abnormal. Go to Checking the Security Group Rules of the Database Audit Instance.

Figure 33-28 Communication error

```
AWdimb74cL5BTUHrp8-t]# tail /opt/dbss_audit_agent/log/audit_agent.log
INFO [websocket.cpp:1608] create websocket thread begin...
INFO [websocket.cpp:1620] create websocket thread begin...
INFO [websocket_connection_handle.cpp:278] setup websocket connection success
INFO [websocket_connection_handle.cpp:169] send authentication request packet with websocket...
INFO [websocket_connection_handle.cpp:126] create authentication request packet begin...
INFO [websocket_connection_handle.cpp:25] encrypt verify info by public key begin...
INFO [websocket_connection_handle.cpp:25] encrypt verify info by public key begin...
INFO [websocket_connection_handle.cpp:173] enterpt verify info by public key success
INFO [websocket_connection_handle.cpp:172] authentication request packet success
INFO [websocket_connection_handle.cpp:172] authentication request packet is: {"body":("agentid":"AWdimb74cL5BfUH":"Euler05", "0stype":"Linux", "osver":"3.10.0-327.36.58.4.x86.64", "verify":"IHGabvph0aqK6Q+saleIaIM_RBIA/537uGRQQQ;
icuJUMwKbsz_USYUSHZwidIM*adorczItXeAWMiwn/f/czZdjsqeend6hBBIv3CXpdDv2Y3SMoUIKPaudIqMrNhStuTD55idSQn04YgnuzZJMTCZAU
IQQTb2Cli0iEKGHLteQ=="}, "code":1, "id":"98c43f29-e302-402a-9e75-321b2f6e86c2", "method":"request", "time":1543807412}
ERROR [websocket_connection_handle.cpp:177] send authentication request packet

failed, retry 30 seconds later!
```

----End

#### Checking the Security Group Rules of the Database Audit Instance

- **Step 1** Go to the **Database Security Service** page.
- **Step 2** In the navigation tree on the left, choose **Database Audit** > **Databases**. The **Databases** page is displayed.
- **Step 3** Select an instance where the database is located from the **Instance** drop-down list.
- **Step 4** Record the IP address of the agent node.

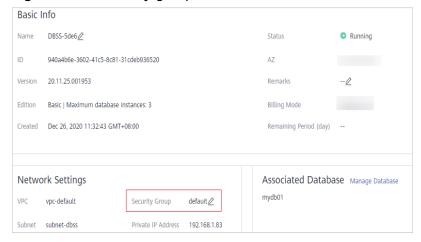
Click  $\checkmark$  next to the database to view the information of its agent, and record **Installing Node IP Address**. See **Figure 33-29**.

Figure 33-29 Installing node IP address



- **Step 5** Record the security group information about the database to be audited.
  - 1. In the navigation tree on the left, choose **Database Audit** > **Instances**.
  - 2. Click the required instance. The **Overview** page is displayed.
  - 3. In the **Network Settings** area, record the value of **Security Group** (for example, **default**) of the database audit instance, as shown in **Figure 33-30**.

Figure 33-30 Security group information about the database to be audited



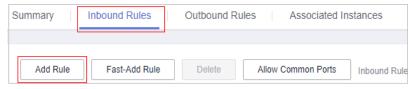
**Step 6** Go to the **Security Groups** page to check the rules of the recorded group.

- 1. Click in the upper left corner of the management console and choose Network > Virtual Private Cloud.
- 2. In the navigation tree on the left, choose **Access Control** > **Security Groups**. The security group list page is displayed.
- 3. Enter the security group **default** recorded in **5.c** in the search box in the upper right corner of the list, and click Q or press **Enter**. Its information is displayed in the list.
- 4. Click the name of the security group **default**. Click the **Inbound Rules** tab.
- Check inbound rules of the security group default.
   Check whether TCP (port number 8000) and UDP protocols (port number from 7000 to 7100) are configured in the inbound rules of the security group for the IP address of the installing node in Step 4.
  - If inbound rules have been configured for the security group, go to Verifying the Result.
  - If no inbound rule is configured for the security group, go to Step 7.

#### **Step 7** Add an inbound rule for the installing node.

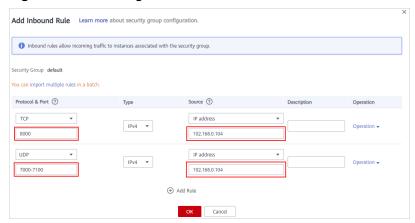
1. On the **Inbound Rules** tab, click **Add Rule**. See **Figure 33-31**.

Figure 33-31 Adding rules



In the Add Inbound Rule dialog box, add TCP (port number 8000) and UDP protocols (port number from 7000 to 7100) for the installing node IP address in Figure 33-29. See Figure 33-32.

Figure 33-32 Adding an inbound rule



3. Click OK.

----End

#### Verifying the Result

In your database, run an SQL statement on the node where the agent is installed, and then search for the statement in the SQL statement list.

- If the SQL statement is found, the problem has been solved.
- If the SQL statement is not found, the problem persists. Contact customer service

#### 33.5.3 Alarm Notifications Are Abnormal

#### **Symptom**

- The database audit instance is running properly. An alarm about a high-risk statement alarm is sent via email, but no high-risk SQL statements are displayed on the console.
- Alarm email sending is delayed.

#### **Possible Causes**

There are too many audit logs and data audit is delayed.

#### Suggestion

- Add DBSS instances and balance the loads processed by each instance. Alternatively, modify audit rules to narrow down the audit scope.
- Create an automated hourly backup task to prevent log deletion, which will be triggered if the disk usage reaches 85%.

### 33.6 Logs

# 33.6.1 Can the Operation Logs of Database Audit Be Migrated?

No. Database audit does not support migrating database operation logs.

You can view the operation logs of database audit. For details, see **How Long Are** the Operation Logs of Database Audit Saved by Default?

# 33.6.2 How Long Are the Operation Logs of Database Audit Saved by Default?

The operation logs of database audit are permanently saved.

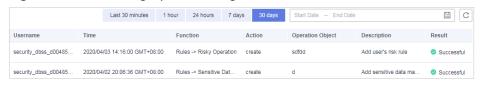
### 33.6.3 How Do I Check the Operation Logs of Database Audit?

To check the operation logs of database audit, perform the following steps:

**Step 1** Log in to the management console.

- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Instances**.
- **Step 4** Click the name of the instance whose operation logs you want to view. The **Overview** page is displayed.
- **Step 5** Click the **Logs** tab. The log list page is displayed.
- **Step 6** View operation logs, as shown in **Figure 33-33**. For details about related parameters, see **Table 33-8**.

Figure 33-33 Viewing operation logs



Select **Last 30 minutes**, **1 hour**, **24 hours**, **7 days**, or **30 days**, or click to set start time and end time to view the operation logs of a specified time range.

Table 33-8 Parameters

Parameter	Description	
Username	User who performs the operation	
Time	Time when the operation was performed	
Function	Function of the operation	
Action	Action of the operation	
Operation Object	Object of the operation	
Description	Description of the operation	
Result	Result of the operation	

----End

### 33.6.4 How Does Database Audit Process Logs?

Database audit logs are stored in a log database and processed based on disk usage.

- If the disk usage of the log database is 85% or higher, the system automatically deletes the audit logs generated on the earliest date until the disk usage drops below 85%.
- If the disk usage is 90% or higher, database audit stops and the system no longer saves new audit logs.

### 33.6.5 How Do I Back Up the Database Audit Logs?

Database audit supports manual backup and automatic backup. Audit logs are backed up to OBS. Buckets will be automatically created and will incur a separate bill.

Perform the following operations to automatically back up audit logs.

#### **Automatically Backing Up Database Audit Logs**

- **Step 1** Log in to the management console.
- Step 2 Select a region, click —, and choose Security > Database Security Service. The Dashboard page is displayed.
- **Step 3** In the navigation tree on the left, choose **Settings**.
- **Step 4** In the **Instance** drop-down list, select the required instance and click the **Backup** and **Restoration** tab.
- **Step 5** Click **Configure**. In the displayed dialog box, set the parameters, as shown in **Figure 33-34**. For details about related parameters, see **Table 33-9**.

Figure 33-34 Configure Automatic Backup dialog box

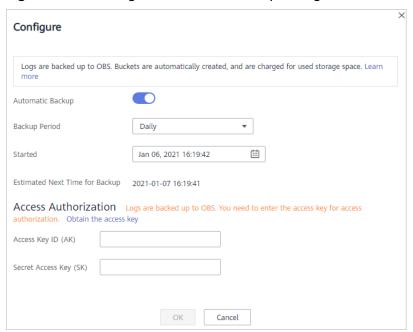


Table 33-9 Parameters

Parameter	Description	Example Value
Automatic Backup	Status of automatic backup	

Parameter	Description	Example Value
Backup Period	Automatic backup period. Its options are as follows:	Daily
	Daily	
	Hourly	
Started	Start time of the backup. Click ito configure.	2020/01/14 20:27:08
Estimated Next Time for Backup	Time when the next automatic backup starts	2020/01/15 20:21:29
Access Key ID(AK)	Access key (AK)	-
Secret Access Key(SK)	Secret access key (SK)	-

#### Step 6 Click OK.

After the automatic backup function is configured, new data in the database will be backed up one hour later. Then you can view the backup information.

----End

### 33.6.6 Can Database Audit Logs Be Directly Saved to OBS?

No. Database audit logs are directly saved to the log database. You can back up the logs to Object Storage Service (OBS).

Database audit logs can be manually or automatically backed up.

Automatic backup: Logs can be automatically backed up on a daily, weekly, or monthly basis.

Manual backup: You can back up logs generated in the last 24 hours, last 7 days, last 30 days, and or all logs.

If there are a large number of logs generated, you are advised to automatically back up logs every day.

If you back up logs to OBS, an OBS bucket will be automatically created to store the logs Buckets are billed per use.

# A Change History

Released On	Description	
2021-06-15	This is the second official release.	
2021-01-28	This is the first official release.	